

Cool luminous stars: the hybrid nature of their infrared spectra [★]

Carbon, oxygen, and their isotopic abundances in 23 K - M giant stars

T. Tsuji

Institute of Astronomy, School of Sciences, The University of Tokyo, Mitaka, Tokyo, 181-0015 Japan
e-mail: ttsuji@ioa.s.u-tokyo.ac.jp

Received ; accepted

ABSTRACT

Aims. We determine carbon, oxygen, and their isotopic abundances based on CO and OH spectra in 23 red giant stars, and identify possible origin of difficulty in abundance analysis of cool luminous stars.

Methods. We apply the line-by-line analysis based on the classical micro-turbulent model and 1 D model photospheres.

Results. We found purely empirically that there is a critical value of $\log W/\nu \approx -4.75$ (W is the equivalent width and ν the wavenumber) above which the observed lines do not follow the classical line formation theory based on the micro-turbulent model and that the classical abundance analysis can be applied only to the weak lines of $\log W/\nu \lesssim -4.75$. The carbon, oxygen, and their isotopic abundances in 23 K - M giant stars obtained from such weak lines are roughly consistent with the recent evolutionary models, although the $^{12}\text{C}/^{13}\text{C}$ puzzle remains unsolved. On the other hand, it is already known that the very strong lines of $\log W/\nu > -4.4$ are contaminated by the contribution from extra molecular layers. The less strong but saturated lines of $-4.75 < \log W/\nu \lesssim -4.4$ (intermediate-strength lines) cannot be understood at all with the abundance and turbulent velocity based on the weak lines. From the behavior shown by these lines and considering other observations such as the detection of H_2O lines, not only in the late M giants but also in the early M and K giants, we found that the intermediate-strength lines are essentially the same as the strong lines in that they also include contamination from the extra molecular layers. Thus the behavior of the intermediate-strength lines, including those with LEP as high as 2 eV, appears to be nothing but a manifestation of the warm molecular envelope or MOLsphere.

Conclusions. The infrared spectra of K - M giant stars are a hybrid of at least two components originating in the photosphere and MOLsphere. Only the weak lines, mostly high excitation, are relatively free from the effect of MOLsphere and can be used to extract photospheric abundances. The strong lines and the intermediate-strength lines which actually dominate the observed infrared spectra, are badly disturbed by contamination from the MOLsphere. For this reason, they provide little information about the photosphere, but instead can be new probes of the warm molecular envelope for which little is known yet. In the interpretation and analysis of the infrared spectra of K - M giant stars, it is essential to keep their hybrid nature in mind.

Key words. Line: formation – stars: abundances – stars: late-type – stars: atmospheres – stars: mass-loss – Infrared: stars

1. Introduction

The basic principle of stellar abundance determination was established already about half a century ago (e.g. Unsöld 1955), but it is only recently that high quality spectroscopic data are made available for accurate abundance analyses. Actual abundance determinations have largely been done using the classical methods based on grids of model photospheres (e.g. Gustafsson et al. 1975; Tsuji 1978; Kurucz 1979; Plez et al. 1992). In many applications, local thermodynamical equilibrium (LTE) was assumed to hold (e.g. Unsöld 1955) and favored for its simplicity in abundance determinations. The next major step was to treat line formation without the restriction of the LTE assumption (e.g. Mihalas 1978). More recently, the classical model photospheres describing the structure of the photosphere only in the radial direction (1 D) are being challenged by the new models

considering the hydrodynamics of convection in 3 dimension (3 D model) (e.g. Asplund 2005). In the regime of the coolest stars such as red giant and supergiant stars, however, the situation may be a bit different and there may be another problem.

In cool giant stars representing the evolution from the red giant branch (RGB) to the asymptotic giant branch (AGB), CNO and their isotopic abundances experience drastic changes and accurate abundance determination should be vital not only to testing the stellar evolutionary models but also to understanding the chemistry of interstellar matter which is enriched by the mass-loss from red giant stars. Despite their importance, few abundance analyses based on high resolution spectra have been done for cool giant stars, compared to other type of stars. It should be remembered that high quality infrared spectra needed for this purpose have been available, thanks to the Fourier transform spectroscopy (FTS) pioneered by P. & J. Connes (e.g. Connes 1970) and developed further in the 1970's (e.g. Maillard 1974; Hall et al. 1979; Ridgway & Brault 1984), even before high efficient detectors such as CCD innovated optical spectroscopy. Thus the problem here was not in the quality of the observed data but should be due to some inherent difficulties in the spectral analysis of very cool stars. So far, some efforts to determine the CNO and their isotopic abundances were made for oxygen-rich case (e.g. Harris & Lambert 1984; Smith & Lambert 1985,

Send offprint requests to: T. Tsuji

[★] Tables 2 and 3 are only available in electronic form at the CDS via anonymous ftp to cdsarc.u-strasbg.fr (130.79.128.5) pub/A+A/489/1271 or via <http://cdsweb.u-strasbg.fr/cgi-bin/qcat?J/A+A/489/1271>. The FTS spectra used in this study are also available from the same location (subdirectory: ftsdata/), by courtesy of K. H. Hinkle and S. T. Ridgway (Kitt Peak National Observatory).

1986, 1990; Harris et al. 1985; Tsuji 1986, 1991; Aoki & Tsuji 1997) as well as for carbon-rich case (e.g. Lambert et al. 1984; Harris et al. 1987; Ohnaka & Tsuji 1996, 1999; Abia & Isern 1997; Ohnaka et al. 2000; Wahlin et al. 2006; Abia et al. 2008). Although many interesting results were shown by these works, we were not convinced entirely that the stellar abundance analysis could be done consistently for cool giant stars.

For example, in our previous analysis of the CO first overtone bands in M giant stars, we found that most lines could be interpreted consistently with the classical model in terms of the micro-turbulent velocity and carbon abundance (Tsuji 1986, hereafter Paper I). The very strong lines of low excitation could not be fitted into this scheme but it was shown that these strong lines were contaminated by the contributions of outer molecular layers (Tsuji 1988, hereafter Paper II). We then analyzed the CO second overtone bands of the same sample of M giant stars and found that the resulting carbon abundances appear to be larger by about a factor of 2 compared with those based on the CO first overtone bands (Tsuji 1991, hereafter Paper III). The origin of these discrepant results remains unsolved yet. The difficulty in abundance analysis of very cool stars was also noticed by Smith & Lambert (1990), who found that the strong OH 1-0 and 2-0 bands gave unreasonably large oxygen abundances compared with those based on the weaker bands and that strong CO lines cannot be used for abundance analysis as well.

The carbon and oxygen abundances in other stars are by no means well established yet. Even for the sun, the classical values widely adopted (e.g. Anders & Grevesse 1989) had to be challenged by a new approach based on the 3 D models, and a reduction of carbon and oxygen abundances by more than 50% from the classical values was suggested (Allende Pietro et al. 2002). The classical values, however, were defended by a detailed analysis of CO lines with semi-empirical model solar photosphere by Ayres et al. (2006). These results remind us that the accurate modeling of the photospheric structure is a critical issue in abundance determinations. It is unknown if the difficulties in abundance analysis in M giant stars outlined above are due to a limitation of the classical 1 D model photosphere for cool giant stars or if they are related to other problems. For example, spectra of red giant stars revealed such an unexpected feature as H₂O lines, not only in the early M giants and K5 giant α Tau (Tsuji 2001) but also in the earlier K giant star α Boo (Ryde et al. 2002). These features cannot be fitted into our present understanding of the photospheres of red giant stars, and suggest a new problem in the photosphere and/or in the atmosphere extending beyond the photosphere. For this reason, we analyze the nature of the difficulty in interpreting the spectra of cool giant stars in some detail in this paper.

2. Input Data

2.1. Observed spectra

Our sample consists of one K giant and 22 M giant stars listed in Table 1 and shown in Fig. 1. We use high resolution infrared spectra observed with the FTS of KPNO (Hall et al. 1979). Spectra of the *H* and *K* band regions are mostly from the KPNO archive, and we also observed some spectra of the *H* and *K* band regions and most spectra of the *L* band region. Most of these spectra were also used in our previous analyses of CO (Papers I, II & III), SiO (Tsuji et al. 1994), and NH & CN (Aoki & Tsuji 1997). Some details of the spectra including the resolution, *S/N* ratio, and observing date are summarized in Table 2. We measured equivalent widths (*EWs*) of isolated lines of ¹²C¹⁶O,

Table 1. Program stars and their basic stellar parameters

Obj(BS/HD)	Sp. type	T_{eff}^a K	M_{bol}^b mag.	$Mass^c$ M_{\odot}
α Tau (1457)	K5+III	3874	-1.7 \pm 0.2	1.5 \pm 0.3
δ Oph (6056)	M0.5III	3790	-2.2 \pm 0.3	1.6 \pm 0.3
ν Vir (4517)	M1III	3812	-2.2 \pm 0.4	1.7 \pm 0.4
α Cet (911)	M1.5IIIa	3909	-3.2 \pm 0.3	3.6 \pm 0.4
σ Lib (5603)	M2.5III	3596	-3.4 \pm 0.5	2.2 \pm 0.5
λ Aqr (8698)	M2.5III	3852	-3.4 \pm 0.7	3.7 \pm 1.2
β Peg (8775)	M2.5II-III	3603	-3.3 \pm 0.2	2.2 \pm 0.3
τ^4 Eri (1003)	M3+IIIa	3712	-2.9 \pm 0.4	2.0 \pm 0.4
μ Gem (2286)	M3III	3643	-3.3 \pm 0.3	2.3 \pm 0.5
δ Vir (4910)	M3III	3643	-2.4 \pm 0.3	1.4 \pm 0.3
10 Dra (5226)	M3.5III	3730	-2.9 \pm 0.3	2.1 \pm 0.4
ρ Per (921)	M4II	3523	-4.1 \pm 0.4	3.2 \pm 0.5
BS6861 (6861)	M4	3600	-5.2 \pm 2.0	6.3 \pm 4.0
δ^2 Lyr (7139)	M4II	3420	-5.5 \pm 0.8	5.5 \pm 2.0
RR UMi (5589)	M4.5III	3397	-3.4 \pm 0.3	1.6 \pm 0.3
α Her (6406)	M5Ib-II	3293	-5.8 \pm 1.6	5.0 \pm 2.0
OP Her (6702)	M5II	3325	-4.4 \pm 0.8	2.3 \pm 1.0
XY Lyr (7009)	M5II	3300	-5.1 \pm 1.1	3.7 \pm 1.5
R Lyr (7157)	M5III	3313	-4.3 \pm 0.3	2.1 \pm 0.5
RZ Ari (867)	M6III	3341	-3.5 \pm 0.6	1.5 \pm 0.4
30g Her (6146)	M6-III	3298	-4.2 \pm 0.4	2.0 \pm 0.6
SW Vir (114961)	M7-III:	2886	-4.4 \pm 0.9	1.3 \pm 0.3
RX Boo (126327)	M7.5-8	2850	-4.6 \pm 0.8	1.3 \pm 0.3

^a based on the infrared flux method. The uncertainty of T_{eff} for individual object is estimated to be ± 100 K.

^b based on the Hipparcos trigonometric parallaxes and measured bolometric fluxes.

^c based on the evolutionary tracks of Fig. 1.

Table 4. CNO abundances used in model photospheres

	case a	case b
$\log A_{\text{C}}^a$	8.35	8.08
$\log A_{\text{N}}^b$	8.11	8.45
$\log A_{\text{O}}^c$	8.69	8.69

^a Tsuji (1991).

^b Aoki & Tsuji (1997).

^c Allende Prieto et al. (2002).

¹³C¹⁶O, and ¹²C¹⁷O in the *H* and *K* band regions, and those of ¹⁶OH in the *H* and *L* band regions. The logarithms of W/ν (W is the measured equivalent width and ν is the wavenumber of a line) are given in Tables 3.

2.2. Model photospheres

We apply classical 1 D model photospheres that are essentially the same as those adopted in our previous works (Tsuji 1978; Paper I - III), except that we now assume that the photosphere is spherically symmetric (SS) rather than plane-parallel (PP) and some molecular opacity data are updated (Tsuji 2002). We include 34 elements in the solar composition (Table 1 of Tsuji 2002) except for C, N, and O for which we assume two cases a and b listed in Table 4 ¹. Case a represents the mean C and N abundances of early M giants and case b of late M giants based on our previous analyses (Paper III; Aoki & Tsuji 1997).

¹ Abundances are expressed throughout on the scale of $\log A_{\text{H}} = 12.0$.

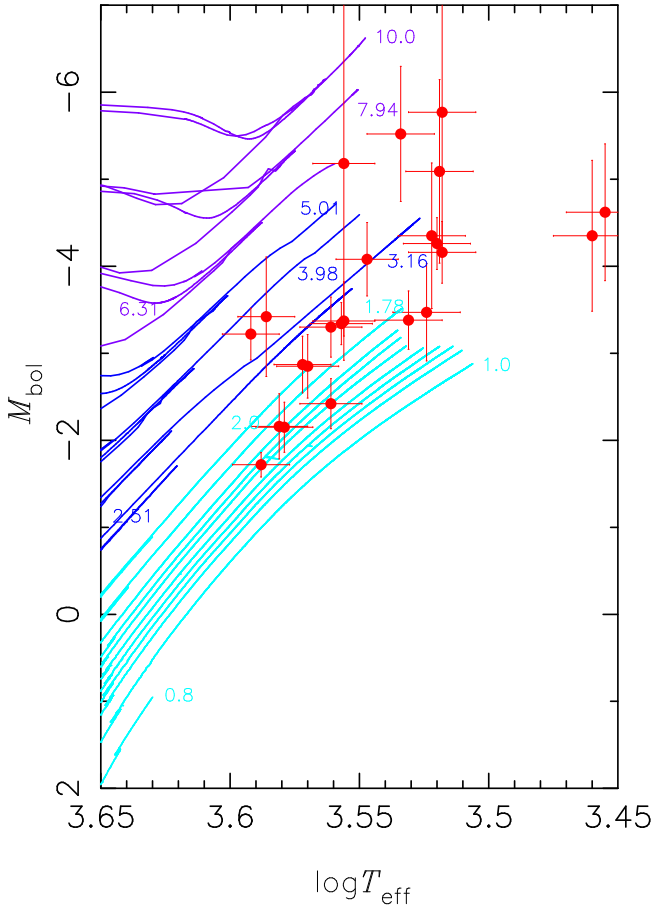


Fig. 1. Program stars shown by filled circles on the HR diagram and recent evolutionary tracks (Claret 2004) shown by solid lines. Stellar masses (in unit of solar mass M_{\odot}) are indicated on some evolutionary tracks.

The masses, radii, effective temperatures, and $\log g$ of our model photospheres are listed in Table 5². We assume masses to be one and two solar masses to see the effect of mass. However, we used the models with $M = 2M_{\odot}$ in the following analyses in this paper, since this appears to be closer to the masses of most red giant stars we are to study (Table 1). Also, the changes in the photospheric structure are rather minor if the masses are increased beyond $2M_{\odot}$, since the differences between the SS and PP models tend to be reduced for larger masses. We assumed the micro-turbulent velocity in modeling to be 3 km s^{-1} throughout.

Some characteristics of the resulting models are given in Table 5: The surface temperature T_0 at $\tau_0 = 10^{-6}$ (τ_0 is the optical depth defined by the continuous opacity at $\lambda = 0.81 \mu\text{m}$) and extension parameter defined by $d = r(\tau_0 = 10^{-6})/R$. Some of our models are compared with those by Plez (1992) in Fig. 2. These models are based on the similar assumptions except that Plez et al. (1992) applied the opacity sampling method while we use the band model method in taking the blanketing effect of molecular lines into account. The agreements are generally fair apart from at the very surface of the coolest model. In evaluating the flux, we calculate spectra at a sampling interval of 0.05 cm^{-1} using a detailed line list including CO (Guelachivili et al. 1983; Chackerian & Tipping 1983), OH (Jacquinet-Husson

Table 5. Model photospheres

case	R R_{\odot}	T_{eff} K	$\log g$	T_0^a K	d^b	$\log F_L^c$	$\log R_L^d$
$M = M_{\odot}$							
a	50	4000	1.04	2196	1.06	12.206	-2.542
a	50	3900	1.04	2185	1.08	12.193	-2.572
a	75	3800	0.69	2117	1.13	12.185	-2.609
a	75	3700	0.69	2094	1.13	12.171	-2.641
a	100	3600	0.44	2023	1.18	12.160	-2.679
b	100	3600	0.44	2074	1.18	12.159	-2.677
b	100	3500	0.44	2037	1.18	12.144	-2.711
b	150	3400	0.09	1857	1.30	12.137	-2.755
b	150	3300	0.09	1628	1.29	12.118	-2.787
b	200	3200	-0.16	1396	1.40	12.102	-2.825
b	200	3100	-0.16	1291	1.38	12.064	-2.842
b	250	3000	-0.36	1079	1.51	12.031	-2.866
b	250	2900	-0.36	1021	1.47	11.974	-2.868
b	300	2800	-0.52	895	1.58	11.931	-2.886
$M = 2M_{\odot}$							
a	50	4000	1.34	2251	1.04	12.200	-2.536
a	50	3900	1.34	2234	1.04	12.187	-2.566
a	75	3800	0.99	2172	1.06	12.178	-2.602
a	75	3700	0.99	2152	1.06	12.163	-2.634
a	100	3600	0.74	2089	1.08	12.152	-2.670
b	100	3600	0.74	2142	1.08	12.151	-2.668
b	100	3500	0.74	2107	1.08	12.135	-2.702
b	150	3400	0.39	2003	1.13	12.124	-2.742
b	150	3300	0.39	1884	1.12	12.104	-2.773
b	200	3200	0.14	1652	1.17	12.083	-2.806
b	200	3100	0.14	1525	1.16	12.041	-2.819
b	250	3000	-0.06	1342	1.20	11.998	-2.833
b	250	2900	-0.06	1234	1.19	11.936	-2.830
b	300	2800	-0.22	1102	1.22	11.882	-2.837

^a temperature at $\tau_0 = 10^{-6}$ where τ_0 is the optical depth defined by the continuous opacity at $\lambda = 0.81 \mu\text{m}$.

^b $d = r(\tau_0 = 10^{-6})/R$ is a measure of the extension of the photosphere.

^c F_L is the flux in the L band in unit of $\text{erg cm}^{-2} \text{s}^{-1}$ per $\Delta\lambda = 1 \text{ cm}$.

^d $R_L = F_{\text{bol}}/F_L$ where F_{bol} is the bolometric flux.

et al. 1999), CN (Cerny et al. 1978; Bauschlicher et al. 1988), H_2O (Partridge & Schwenke 1997), and SiO (Lavas et al. 1981; Tipping & Chackerian 1981). Based on the resulting spectra, the flux in the L band of the wide-band photometry, F_L , and $\log R_L$, where $R_L = F_{\text{bol}}/F_L$ with the bolometric flux $F_{\text{bol}} = \sigma T_{\text{eff}}^4/\pi$ (σ : Stefan-Boltzmann constant), are obtained (Table 5).

The stellar mass has a significant effects on the surface temperature T_0 and the extension parameter d especially at low values of T_{eff} , and we will examine its effects on abundance determination (Sects. 4.3 & 5.3). The calculated values of $\log R_L$ differ only slightly, however, from our previous values (Tsuji 1981), which would be expected because the L band region is relatively free from the heavy line-blanketing effect. For $T_{\text{eff}} = 3600 \text{ K}$, we compare the results for cases a and b, but the differences are rather minor (Table 5).

2.3. Basic stellar parameters

For our sample of 23 red giant stars, the effective temperatures are determined by applying the infrared flux method (Blackwell et al. 1980) using the $\log R_L$ values from Table 5 and the ob-

² We refer to a model by its case/mass (in M_{\odot})/radius (in R_{\odot})/ T_{eff} (in K). For example, the first model photosphere in Table 5 is referred to as a/1.0/50/4000.

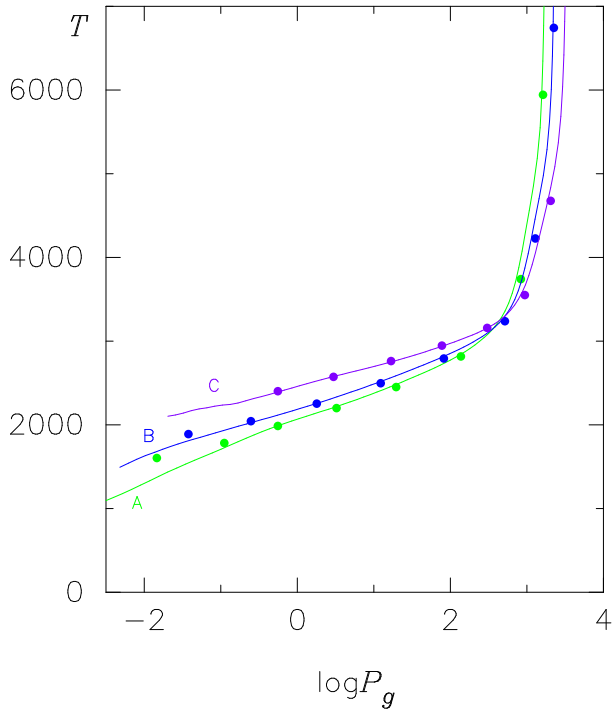


Fig. 2. Some of our model photospheres (solid lines) are compared with those by Plez et al. (1992) (dots). M/M_{\odot} , T_{eff} , $\log g$, and R/R_{\odot} are as follows. A: 1.0/2750/-0.50/295, B: 1.0/3200/0.00/166, and C: 2.0/3600/0.50/132.

served values of $\log R_L$ (Tsuji 1981). The resulting values of T_{eff} agree with our previous results (Tsuji 1981) in general to within 50 K. Our T_{eff} scale is also consistent with the empirical ones based on the measured angular diameters by Ridgway et al. (1980) and more recent results (e.g. Dyck et al. 1998; Perrin et al. 1998; van Belle et al. 1999; Mondal & Chandrasekhar 2005). We also found that our effective temperatures of individual objects agree with the empirical values of these authors, in most cases, to within about 100 K; we therefore assume an error bar of 100 K for our estimations of T_{eff} . With the Hipparcos parallaxes (ESA 1997)³ and the bolometric luminosities obtained by the integration of the SEDs (Tsuji 1981), the absolute bolometric magnitudes are determined. The uncertainty in M_{bol} is dominated by errors in the parallaxes. The errors in the photometric data are estimated to be about ± 0.1 mag, including absolute calibration errors of a few percent (Bersanelli et al. 1991).

Our sample is shown on the HR diagram (Fig. 1) and an evolutionary mass is estimated by comparison with the recent evolutionary tracks by Claret (2004). Unfortunately, nearly half of our sample cannot be accounted for by the models of Claret, and we had to extrapolate the evolutionary tracks to estimate stellar masses given in Table 1. The error bars of masses are derived from those of M_{bol} and T_{eff} . For the objects with T_{eff} lower than ≈ 3000 K, the extrapolations needed are so significant that the uncertainties should be much larger, and we hope that the theoretical evolutionary tracks can be extended to account for these objects.

3. Method of Analysis

Given a model photosphere characterized by a set of mass, radius, and luminosity (or reduced to T_{eff} and $\log g$ in PP models), a given EW can be interpreted in terms of abundance and micro-turbulent velocity, within the framework of the classical theory of line formation. Actually abundances of 34 elements are assumed in our model photosphere, and what we are to determine is corrections to the abundances assumed. In our analysis, we apply the line-by-line analysis based on the classical micro-turbulent model. In this method, we plot the abundance correction $\Delta \log A$ obtained for an assumed value of ξ_{micro} against the $\log W/\nu$ of the line. We expect that larger (smaller) abundance corrections are needed if smaller (larger) values of the micro-turbulent velocity are assumed especially for more saturated lines, while abundance corrections are independent of the assumed ξ_{micro} value for very weak lines free from saturation effect. The micro-turbulent velocity ξ_{micro} is determined so that abundance corrections for individual lines show the least dependence on EW s (as for detail, see Paper I, Sect. 5.1).

Also, the probable errors (PEs) of the resulting abundance (correction) and micro-turbulent velocity are essentially determined from the scatter in the data points plotted on the $\Delta \log A - \log W/\nu$ plane, as explained in detail in Paper I (see its Fig. 2 and related explanation). Accordingly, the probable errors are robust assessments of the internal errors of our analysis and any result that has a large PE should be assumed to have a large uncertainty (e.g., some ξ_{micro} values with large PEs in Table 6). Apart from the internal error, we notice that there should be a systematic error due to a selection of the lines. The analysis, which is based on the classical micro-turbulent model outlined above, should be applied only to lines weaker than a critical value, since otherwise a large systematic error will be introduced, as will be shown in Sects. 4 & 5.

4. Reanalysis of CO

We analyzed the lines of the CO first and second overtone bands separately in Papers I and III, respectively, and we summarize briefly the difficulties in these analyses. We then reanalyze the CO lines with the same observed and spectroscopic data as before, but treat the first and second overtone bands together.

4.1. Previous analysis of CO

The method outlined in Sect. 3 has been applied to the lines of the $^{12}\text{C}^{16}\text{O}$ first overtone bands (see Figs. 1a-g of Paper I). The analysis in Paper I was done using PP model photospheres, but we confirmed that the results are essentially the same for SS model photospheres of the same T_{eff} . As an example, we repeat the line-by-line analysis of α Her in Fig. 3a: For assumed values of $\xi_{\text{micro}} = 3.0, 3.5$, and 4.0 km s^{-1} , the CO lines of $\log W/\nu \lesssim -4.5$ (those shown by filled circles) show behavior expected from the classical micro-turbulent model outlined in Sect. 3, and it is possible to find a ξ_{micro} value for which the abundance corrections do not depend on $\log W/\nu$ values. The resulting values of $\xi_{\text{micro}} = 3.81 \pm 0.43 \text{ km s}^{-1}$ and $\log A_C = 8.08 \pm 0.27$ in fact provide a null mean logarithmic abundance correction as confirmed in Fig. 3b⁴.

⁴ Some differences with the result for α Her in Table 4 of Paper I ($\xi_{\text{micro}} = 3.30 \pm 0.38 \text{ km s}^{-1}$ and $\log A_C = 7.93 \pm 0.28$), may be due to the use of SS instead of PP models in the present analysis. Thus the effect of sphericity is certainly not negligible but not very important.

³ This research has made use of the VizieR catalogue access tool, CDS, Strasbourg, France, via ADC/NAOJ.

Although it appeared to be possible that a self-consistent abundance analysis could be done for the lines of $\log W/\nu \lesssim -4.5$, it was found that the lines of $\log W/\nu > -4.5$ could not be fitted into the above scheme, but behave quite differently (also see Figs. 1 and 2 of Paper II). From a detailed analysis of the line profiles, strengths, and velocity shifts of these strong lines, we suggested that these lines should be contaminated by the contributions of extra molecular layers above the photosphere as detailed in Paper II and hence these lines cannot be used for abundance analysis.

We then analyzed the lines of the CO second overtone bands by the same method as for the CO first overtone bands (Paper III). In this case, many weak lines were available and the abundances determined were relatively insensitive to the value of ξ_{micro} . At the same time, the value of ξ_{micro} could not be specified well for some cases (Fig. 2 of Paper III). The resulting carbon abundances based on the CO second overtone bands, however, appeared to be about twice as high of those based on the CO first overtone bands. The origin of such a systematic difference between the carbon abundances based on the CO first and second overtone bands is difficult to understand, since both the analyses of the first and second overtone bands have been done internally self-consistent.

In view of a general preference of weak lines in abundance analysis, it may be possible to adopt the carbon abundances based on the CO second overtone bands and abandon the results based on the first overtone bands. However, the CO first overtone bands are useful for investigating isotopic abundances, since $^{13}\text{C}^{16}\text{O}$ and $^{12}\text{C}^{17}\text{O}$ lines of the first overtone bands are good indicators of ^{13}C and ^{17}O abundances, respectively (Sect. 6). We should then investigate in some detail the problem with the first overtone bands if we are to use them for investigating the isotopic abundances. We assumed that the isotopic ratios can be determined even if the elemental abundances themselves cannot be determined accurately in our preliminary analysis of the isotopic ratios (Tsuji 2007), but we think it necessary to reconsider such a viewpoint.

4.2. The first and second overtone bands

Although OH analysis to be detailed in Sect. 5 suggests that the lines stronger than a critical value of $\log W/\nu \approx -4.75$ behave differently against the weaker lines, we are unable to see this effect in the CO lines of Fig. 3, since only a few lines of the CO first overtone bands with $\log W/\nu \lesssim -4.75$ could be measured especially in the later M giant stars (e.g. only 3 lines could be measured in α Her shown in Fig. 3). To include more weak lines, we tried to analyze together the first and second overtone bands of CO. The results for $\xi_{\text{micro}} = 2.4, 3.0$ and 3.6 km/s are shown in Fig. 4a, where the lines of the first and second overtone bands of CO are shown by circles and triangles, respectively. All these lines are analyzed together, regardless whether they are of the first or of the second overtone bands. Unlike Fig. 3a based on the CO lines of the first overtone bands alone, it now appears that there is a clear distinction between the lines of $\log W/\nu > -4.75$ (shown by the open symbols) and those of $\log W/\nu \lesssim -4.75$ (shown by the filled symbols). Only the lines of $\log W/\nu \lesssim -4.75$ show the behavior expected from the classical micro-turbulent model of line formation theory noted in Sect. 3.

It is evident in Fig. 4a that it is impossible to find a turbulent velocity that provides a consistent abundance correction to all the lines measured covering $-5.0 < \log W/\nu < -4.2$. Thus, the critical value of $\log W/\nu \approx -4.75$ found for the CO lines is purely empirical as for OH to be discussed in Sect. 5.1.

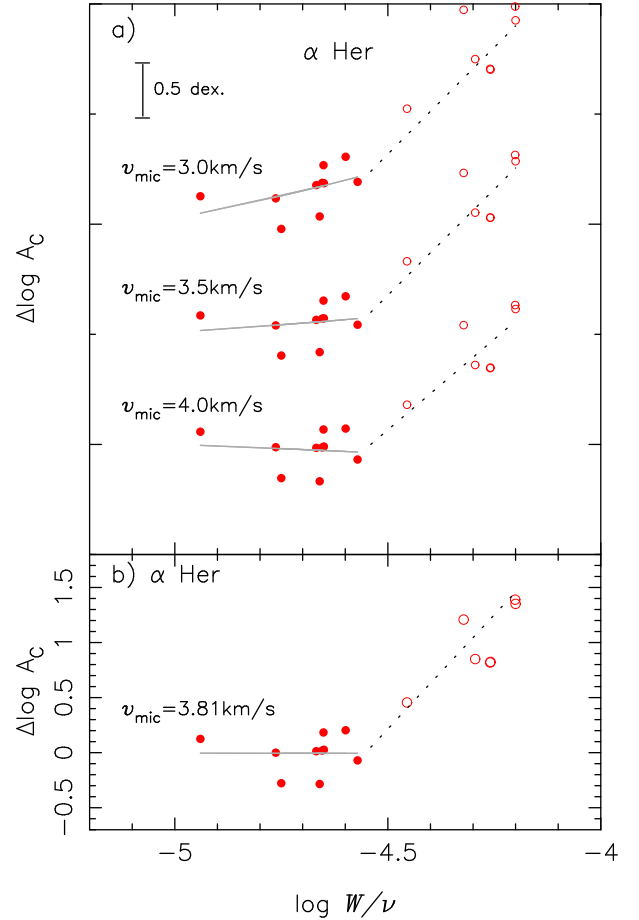


Fig. 3. a) Logarithmic abundance corrections for the lines of the CO first overtone bands of α Her plotted against the observed $\log W/\nu$ values for assumed values of $\xi_{\text{micro}} = 3.0, 3.5$, and 4.0 km s $^{-1}$. The analysis outlined in Sect. 3 is applied to the lines of $\log W/\nu < -4.5$ (filled circles) with our model b/2.0/150/3200. b) Confirmation of the null logarithmic abundance corrections for $\log A_C = 8.08$ and $\xi_{\text{micro}} = 3.81$ km s $^{-1}$, which are the solution of the line-by-line analysis of α Her in a).

Unfortunately, we thought previously (Paper I) that such a critical value is $\log W/\nu \approx -4.5$ rather than -4.75 . In this paper, we confirm that this new critical value of $\log W/\nu \approx -4.75$ should apply to all red giant stars that we are to study⁵.

From the weak lines which behave as expected for different values of ξ_{micro} in Fig. 4a, we can determine ξ_{micro} value using the method described in Sect. 3. The solution is found to be $\xi_{\text{micro}} = 2.82 \pm 0.23$ km s $^{-1}$ and $\log A_C = 8.40 \pm 0.13$, for which the mean abundance correction is null as confirmed in Fig. 4b. On the other hand, the intermediate-strength lines remain unexplained. We previously concluded that lines with $\log W/\nu > -4.5$, most of which originate in the low excitation levels, should include excess absorption originating in the outer molecular layers. It is, however, unclear if this interpretation can

⁵ With the critical value that discriminates the lines well interpreted by the classical line formation theory from those that cannot be, we distinguish three groups of lines to be referred to as; 1) the weak lines ($\log W/\nu \lesssim -4.75$, although these lines are not necessarily very weak lines free from saturation effect), 2) the intermediate-strength lines ($-4.75 < \log W/\nu \lesssim -4.4$), and 3) the strong lines ($\log W/\nu > -4.4$, these lines are mostly low excitation lines of CO discussed in Paper II).

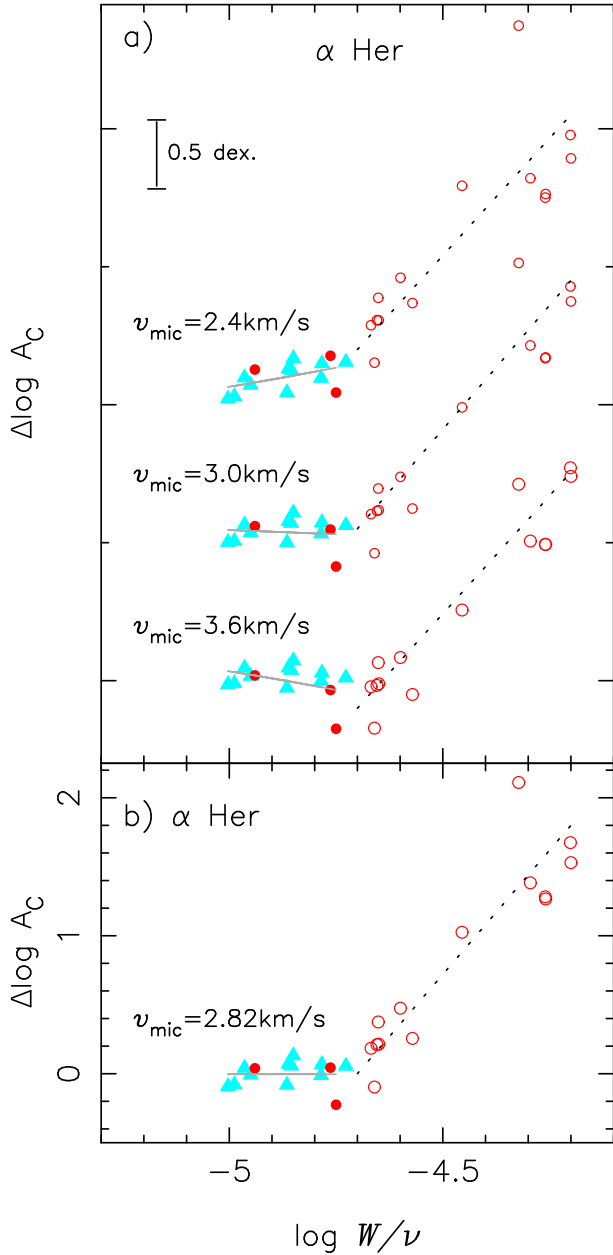


Fig. 4. a) Logarithmic abundance corrections for the lines of the CO first and second overtone bands in α Her plotted against the observed $\log W/\nu$ values for assumed values of $\xi_{\text{micro}} = 2.4, 3.0$, and 3.6 km s^{-1} . The CO lines of the first and second overtone bands are shown by circles and triangles, respectively (model photosphere: b/2.0/150/3300). **b)** Confirmation of the null logarithmic abundance corrections for $\log A_C = 8.40$ and $\xi_{\text{micro}} = 2.82 \text{ km s}^{-1}$, which are the solution of the line-by-line analysis of the weak lines (filled symbols) in a).

be extended to the intermediate-strength lines and we return to this subject in Sect. 7.2 after we examine more cases.

The results of the same analysis on other late M giants show similar patterns as shown for δ^2 Lyr and 30g Her in Figs. 5a-b. It appears that the abundance corrections for the second overtones (filled triangles) are often larger than those for the first overtones (filled circles), but we assume that such a difference may be within the margins of error of the measurements, including the difference in the assumed continuum levels. The case of RX

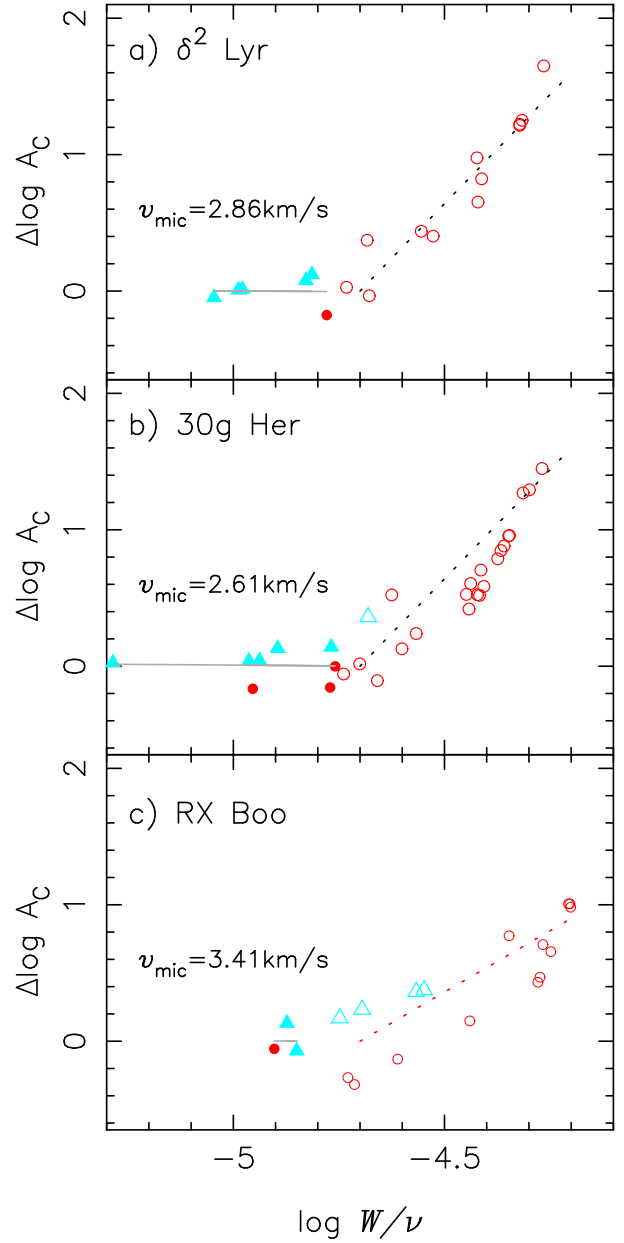


Fig. 5. a) Confirmation of the null logarithmic abundance corrections for $\log A_C = 8.22$ and $\xi_{\text{micro}} = 2.86 \text{ km s}^{-1}$, resulting from the line-by-line analysis of the weak lines of CO in δ^2 Lyr (model: b/2.0/150/3400). **b)** The same as a) but for $\log A_C = 8.35$ and $\xi_{\text{micro}} = 2.61 \text{ km s}^{-1}$ in 30g Her (model: b/2.0/150/3300). **c)** The same as a) but for $\log A_C = 8.32$ and $\xi_{\text{micro}} = 3.41 \text{ km s}^{-1}$ in RX Boo (model: b/2.0/250/2900).

Boo shown in Fig. 5c is the most difficult case with only 3 weak lines, but nevertheless we could find a solution in this case. But the PEs both of ξ_{micro} and $\log A_C$, estimated by the method noted in Sect. 3 are, however, quite large reflecting the poor quality of the data available for this latest M giant in our sample.

We then examine earlier M giants and apply the same analysis to the lines of the CO first and second overtone bands of β Peg, as shown in Fig. 6a. We applied the same method of the line-by-line analysis to the first and second overtone bands separately in Fig. 1c of Paper I and Fig. 2 of Paper III, respectively. The lines of the second overtone bands were all weak lines and the results were $\xi_{\text{micro}} = 4.1 \pm 2.8 \text{ km s}^{-1}$ and $\log A_C = 8.24 \pm 0.08$

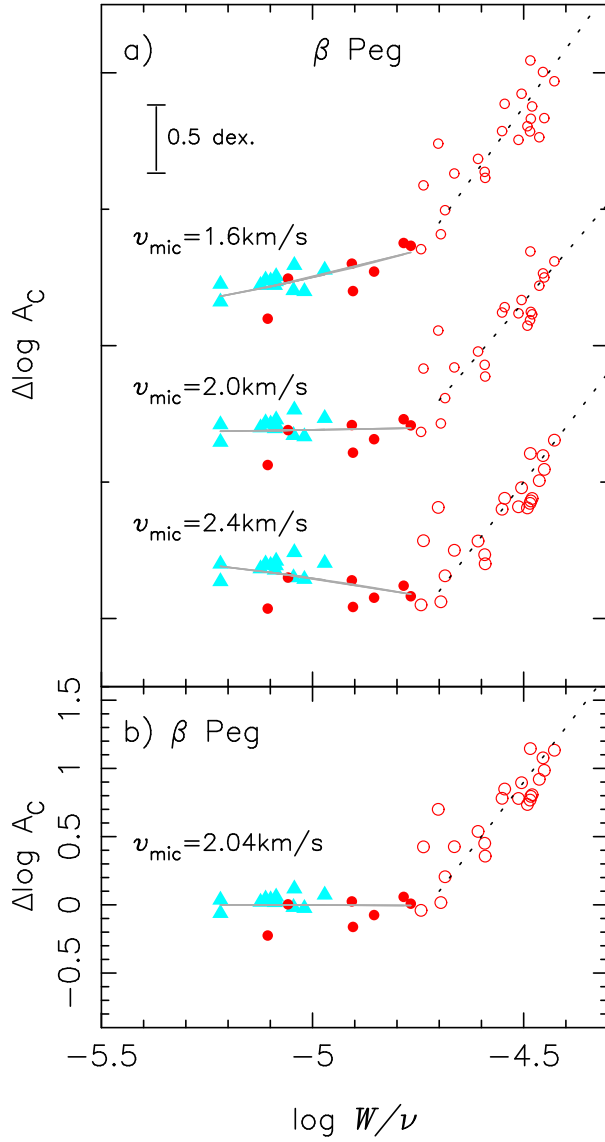


Fig. 6. **a)** Logarithmic abundance corrections for the lines of the CO first and second overtone bands in β Peg plotted against the observed $\log W/v$ values for assumed values of $\xi_{\text{micro}} = 1.6, 2.0$, and 2.4 km s^{-1} (model: a/2.0/100/3600). **b)** Confirmation of the null logarithmic abundance corrections for $\log A_C = 8.27$ and $\xi_{\text{micro}} = 2.04 \text{ km s}^{-1}$, which are the solution of the line-by-line analysis of the weak lines (filled symbols) in a).

(Paper III). Although the lines of the first overtone bands covered the range of $-5.1 < \log W/v < -4.5$, all these lines could also be analyzed consistently, and the results were found to be $\xi_{\text{micro}} = 3.12 \text{ km s}^{-1}$ and $\log A_C = 7.89$ (Paper I). Now, exactly the same lines of the first and second overtone bands are analyzed together and our results for $\xi_{\text{micro}} = 1.6, 2.0$ and 2.4 km s^{-1} are shown in Fig. 6a. It appears again that no consistent solution can be found for all these lines covering $-5.3 < \log W/v < -4.4$ ⁶. Instead the

⁶ Note that our previous analysis of the CO first overtone lines with $-5.1 < \log W/v < -4.5$ appeared to provide a solution (Fig. 1c of Paper I), but it now appears to be a wrong solution because of the two reasons. First, as we have just found, it included the intermediate-strength lines to which the line-by-line analysis based on the classical micro-turbulent model cannot be applied. Second, it included only few weak lines. With such lines of the CO first overtone bands, the line-by-line analysis con-

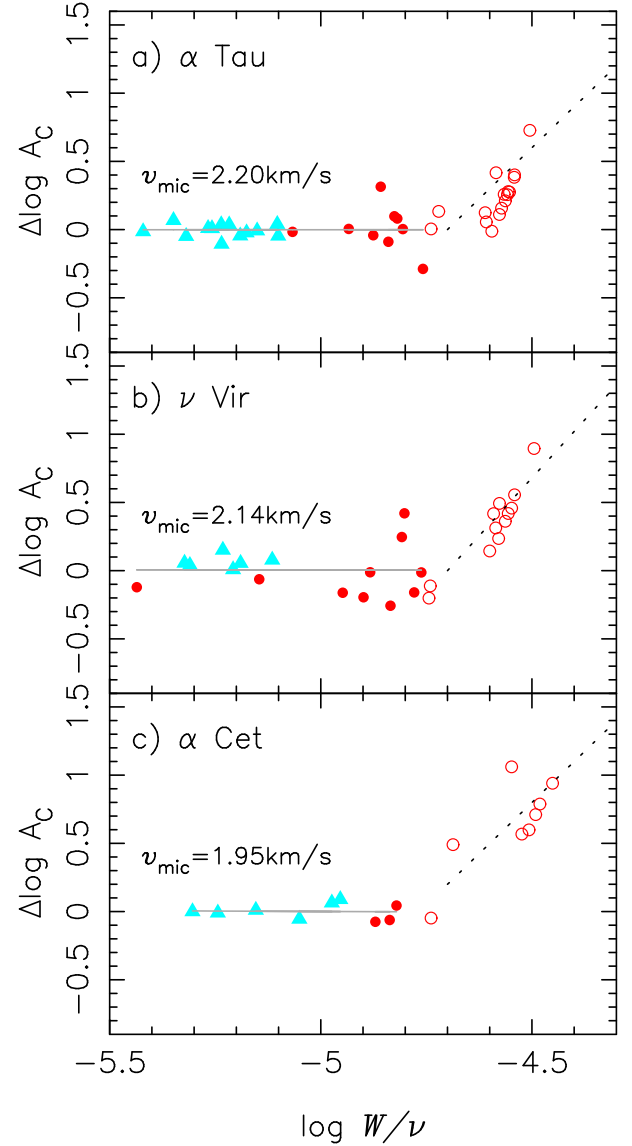


Fig. 7. **a)** Confirmation of the null logarithmic abundance corrections for $\log A_C = 8.38$ and $\xi_{\text{micro}} = 2.20 \text{ km s}^{-1}$, resulting from the line-by-line analysis of the weak lines of CO in α Tau (model: a/2.0/50/3900). **b)** The same as a) but for $\log A_C = 8.13$ and $\xi_{\text{micro}} = 2.14 \text{ km s}^{-1}$ in ν Vir (model: a/2.0/75/3800). **c)** The same as a) but for $\log A_C = 8.64$ and $\xi_{\text{micro}} = 1.95 \text{ km s}^{-1}$ in α Cet (model: a/2.0/50/3900).

lines are divided into two groups separated at $\log W/v \approx -4.75$, and a consistent abundance correction can be obtained from only the weak lines. The resulting $\xi_{\text{micro}} = 2.04 \pm 0.07 \text{ km s}^{-1}$ and $\log A_C = 8.27 \pm 0.05$ are confirmed to provide null abundance corrections as shown in Fig. 6b. The resulting carbon abundance agrees well with that of Paper III but differs substantially from that of Paper I. It is now clear that the analysis of Paper I included the lines of $\log W/v > -4.75$, which were inappropriate for abundance analyses.

verged to an incorrect solution, and this is an example of a systematic error due to an improper selection of lines, as noted in Sect. 3. Such a convergence to a wrong solution could be prevented and a correct critical value could be found, if a sufficient number of weak lines could have been included in our analysis of Paper I.

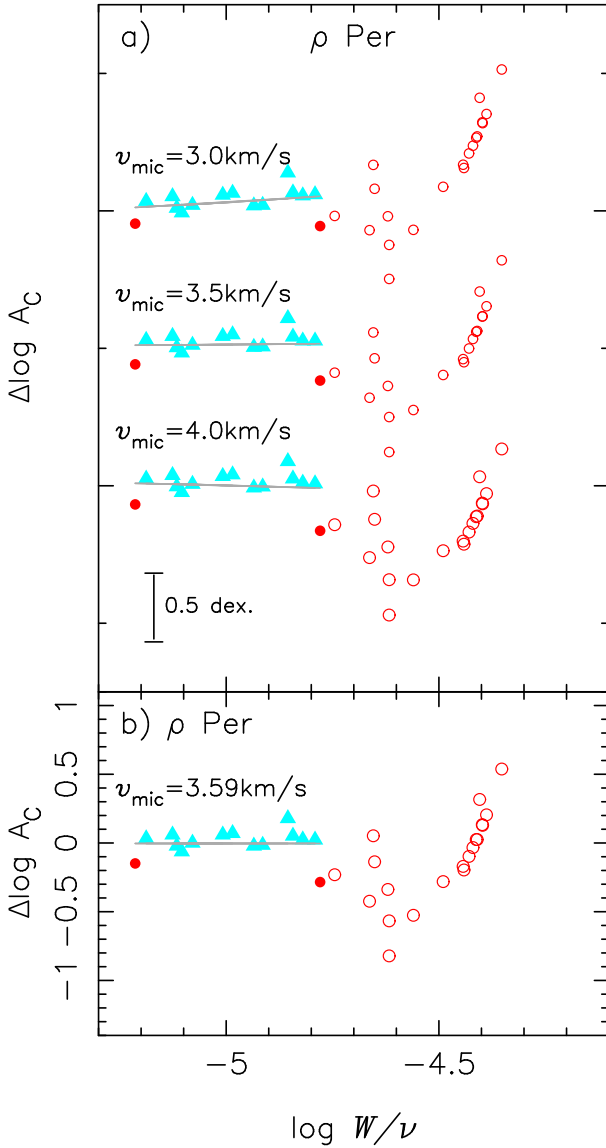


Fig. 8. **a)** Logarithmic abundance corrections for the lines of the CO first and second overtone bands in ρ Per plotted against the observed $\log W/\nu$ values for assumed values of $\xi_{\text{micro}} = 3.0, 3.5$, and 4.0 km s^{-1} (model: b/2.0/100/3500). **b)** Confirmation of the null logarithmic abundance corrections for $\log A_C = 8.27$ and $\xi_{\text{micro}} = 3.59 \text{ km s}^{-1}$, which are the solution of the line-by-line analysis of the weak lines (filled symbols) in a).

The results of the same analysis on other early M giants (including a K giant) show similar patterns. Some examples are shown for α Tau, ν Vir, and α Cet in Figs. 7a-c. It is to be noted that the anomalous behavior of the lines stronger than the critical value already appears in the K5 giant α Tau, and in all the early M giants that we have examined. The nature of the intermediate-strength lines, however, is quite difficult to understand. We have previously suggested that the lines with $\log W/\nu > -4.5$ may be contaminated by the contributions of the warm molecular layers above the photosphere, but this interpretation has so far been considered only for low excitation lines observed in late M giants (Paper II). It is to be noted, however, that the anomalous behaviors of the intermediate-strength lines shown on the $\Delta \log A - \log W/\nu$ diagrams are quite similar between the late (Figs. 4 & 5) and early (Figs. 6 & 7) M giants.

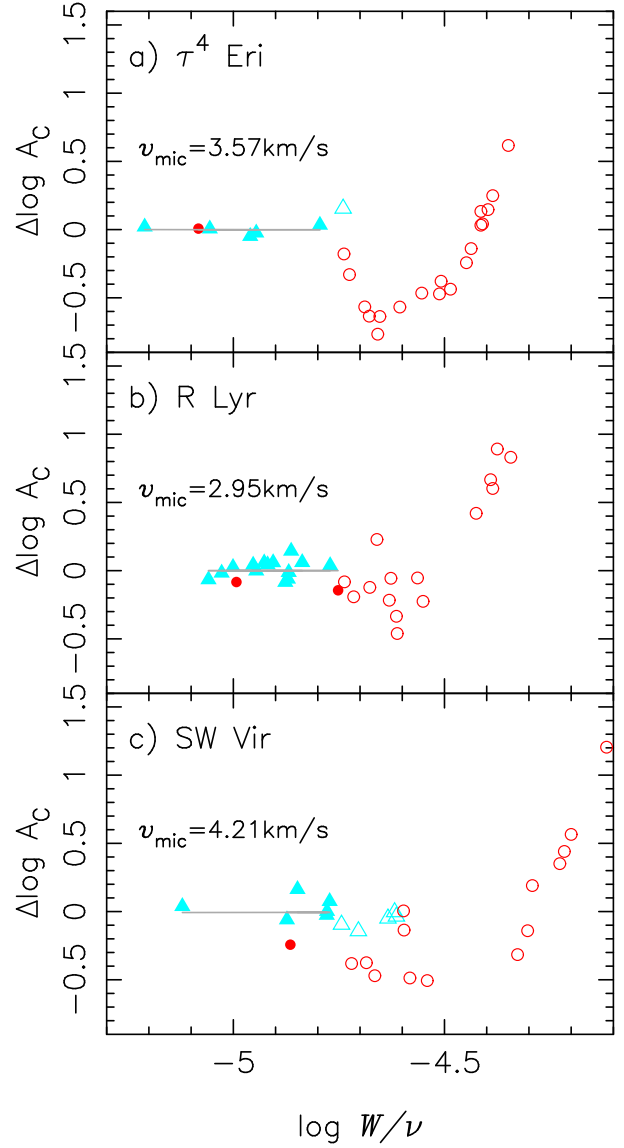


Fig. 9. **a)** Confirmation of the null logarithmic abundance corrections for $\log A_C = 8.41$ and $\xi_{\text{micro}} = 3.57 \text{ km s}^{-1}$, resulting from the line-by-line analysis of the weak lines of CO in τ^4 Eri (model: a/2.0/75/3700). **b)** The same as a) but for $\log A_C = 8.26$ and $\xi_{\text{micro}} = 2.95 \text{ km s}^{-1}$ in R Lyr (model: b/2.0/150/3300). **c)** The same as a) but for $\log A_C = 8.26$ and $\xi_{\text{micro}} = 4.21 \text{ km s}^{-1}$ in SW Vir (model: b/2.0/250/2900).

Finally, we noticed that the case of ρ Per is somewhat different. We again apply the line-by-line analysis for $\xi_{\text{micro}} = 3.0, 3.5$ and 4.0 km s^{-1} as shown in Fig. 8a. The resulting $\xi_{\text{micro}} = 3.59 \pm 0.64 \text{ km s}^{-1}$ and $\log A_C = 8.27 \pm 0.07$ based on the weak lines are confirmed in Fig. 8b. It is to be remembered that exactly the same lines of the first overtone bands alone (but omitting lines of $\log W/\nu > -4.5$) resulted $\xi_{\text{micro}} = 2.68 \pm 0.30 \text{ km s}^{-1}$ and $\log A_C = 8.00 \pm 0.22$ (see Fig. 1e of Paper I), although it now appears that almost all the lines used in Paper I were the intermediate-strength lines, which may be inappropriate for abundance analysis. Although the weak lines behave as expected, the intermediate-strength lines do not at all in that the abundance corrections are now mostly negative, while they were mostly positive in the cases examined so far (see Figs. 4 - 7).

We found more or less similar patterns in other objects such as τ^4 Eri, R Lyr, and SW Vir shown in Figs. 9a-c. We note that the presence of the warm molecular layers is already known in late M giants including ρ Per, R Lyr, and SW Vir (Paper II) and the strong low excitation lines in Figs. 8 & 9 show positive abundance corrections consistent with the excess absorption in the outer molecular layers, even though weaker intermediate-strength lines show negative abundance corrections. Thus, the presence of the extra molecular layers in these objects can still be considered, but we will return to this subject after we also analyze OH lines (Sect. 7.2).

We conclude that the weak lines of CO behave quite well as expected from the classical micro-turbulent model described in Sect. 3 and hence photospheric abundances can be determined with reasonable accuracy from these lines, although the behaviors of the intermediate-strength lines cannot yet be understood. Thus, our initial purpose to determine carbon abundance could be achieved, and we summarize the resulting carbon abundances based on the lines of the CO first and second overtone bands for 23 objects in the 6-th column of Table 6. We found that the results do not agree at all with those of Paper I but agree rather well with those of Paper III except for a few cases. We conclude that the carbon abundances of Paper I cannot be correct because they were based on the lines that we found to be inappropriate for abundance analysis on a purely empirical basis.

Although our new $\log A_C$ values agree rather well with those of paper III, we notice for a few objects that there are differences as large as 0.3 dex, which have a direct effect on the determination of the nitrogen abundances based on CN lines (Aoki & Tsuji 1997). Roughly speaking, if P_C and P_N are determined by CO and N₂ formations, respectively,

$$P_{CN} \propto P_C P_N \propto A_C A_N^{1/2},$$

where P_C , P_N , and P_{CN} are the partial pressures of C, N, and CN, respectively. Then, P_{CN} remains unchanged if a change of A_C by $\Delta \log A_C$ is compensated for by that of A_N by $\Delta \log A_N \approx -2 \Delta \log A_C$. For a more accurate assessment of the effect of the revised A_C on A_N , we use the line intensity integral $\Gamma_\nu(\chi)$ (see the Appendix of Paper III) defined so that

$$\log (W/\nu)_{wk} = \log gf + \Gamma_\nu(\chi).$$

We determine a new A_N , with the revised A_C , so that it produces the same $\Gamma_\nu(\chi)$ based on the previous values of A_C (Paper III) and A_N (Aoki & Tsuji 1997). It is impossible to do this analytically, but a few trials and errors are sufficient to find a solution. We apply this correction in a typical line with the PP models used previously for the determination of the N abundances. The resulting change in $\log A_N$ is found to be between $-\Delta \log A_C$ and $-2 \Delta \log A_C$, and the revised values of $\log A_N$ based on the lines of CN Red System are given in the 14-th column of Table 6.

4.3. Uncertainties

So far, we have only considered internal errors (PEs) in our results shown in Table 6, but the PEs are useful indicators of the reliability of the results, as noted in Sect. 3. For example, inspection of Table 6 reveals that the PEs for ξ_{micro} are larger than 1 km s⁻¹ for BS6861, SW Vir, and RX Boo, and this may be because the numbers of weak lines available in these cases are only a few. A large systematic error due to an improper selection of lines in Paper I is corrected with the recognition of the possibly true critical value of $\log W/\nu = -4.75$ in this paper. Other possible uncertainties are due to errors in the input parameters

such as T_{eff} , M , R , and to our modeling of the photospheres. We previously examined the effect of uncertainties in T_{eff} and $\log g$ within the framework of PP models. The results (Fig. 14 of Paper III) indicated that the uncertainties are $\Delta \log A_C \lesssim 0.1$ for $\Delta T_{eff} = 100$ K and $\Delta \log A_C \approx 0.2$ for $\Delta \log g = 0.5$.

The use of SS models, however, introduces another problem: Inspection of Table 5 reveals that the photospheric extensions and hence surface temperatures show considerable differences between models of one and two solar masses especially at low T_{eff} . In the model photospheres of $T_{eff} = 3000$ K, for example, the photospheric extension increases from 0.20 R to 0.51 R and the surface temperature decreases from 1342 K to 1079 K if mass is reduced from 2.0 M_\odot to 1.0 M_\odot (Table 5). We assume 2.0 M_\odot in our analysis in this paper throughout, but mass is the most uncertain parameter. For this reason, we examined the effect of mass for a typical case of α Her as an example. We obtained the results shown in Table 6 ($\log A_C = 8.40$ and $\xi_{micro} = 2.82$ km s⁻¹) with a model of 2.0 M_\odot (b/2.0/150/3300). We repeat the same analysis with a model of $M = 1.0 M_\odot$ (b/1.0/150/3300). The result is $\log A_C = 8.29 \pm 0.13$ and $\xi_{micro} = 3.05 \pm 0.24$ km s⁻¹. The difference in derived carbon abundance is 0.11 dex and turbulent velocities differ by less than 10 %. Thus, the conclusion obtained with PP models remains almost unchanged if we use SS models. The reason for this may be due to the use of only the weak lines, which are formed rather deep in the photosphere. Also CO is quite stable at low temperatures because of its high dissociation energy; its abundance may be hardly affected by changes of the physical conditions. This is in marked contrast to the case of the Sun in which CO is just forming at temperatures considerably higher than in M giants and its abundance is highly sensitive to temperature.

4.4. Comparisons with other author's results

We compare our carbon abundances with those by Smith & Lambert (1990) for objects analyzed in both analyses presented in Table 8. Some significant differences are found for ν Vir, β Peg, and ρ Per, which show differences of 0.38, 0.15, and 0.19 dex, respectively. The agreements for other objects, however, are generally within the internal errors of both analyses. Unfortunately, we are unaware of other high resolution studies of photospheric abundances of cool giant stars.

We note, however, an interesting intermediate-resolution analysis for a large sample of 70 M giant stars (Lazaro et al. 1991). Their result implied rather low carbon abundances consistent with our previous result of Paper I, which we just discarded. Since their intermediate-resolution analysis necessarily included the intermediate-strength lines as we also did in our previous analysis in Paper I, their result demonstrates that the low and high resolution analyses provide similar results if applied to the same types of lines. Certainly, intermediate-resolution analysis should be useful for applications to faint objects in remote stellar systems. However, the problem of how to treat the stronger lines that have not been well modeled by the classical line formation theory must be settled before lower resolution spectra can be used successfully in abundance analyses.

Another interesting attempt of medium resolution spectroscopy was reported by Decin et al. (2003), who analyzed infrared spectra observed with ISO SWS. They carefully compared the observed and synthetic spectra and estimated basic stellar parameters, including the CNO and their isotopic abundances. For synthetic spectra of medium resolution, it may again be difficult to avoid the use of the intermediate-strength and strong lines inappropriate for abundance analysis. Nevertheless the resulting car-

Table 6. Carbon and oxygen abundances and micro-turbulent velocities (with probable errors(PEs) in 23 red giant stars

star	N_H^a	N_H^{wk}	N_K^b	N_K^{wk}	$\log A_C$	ξ_{micro} km s ⁻¹	N_H^c	N_H^{wk}	N_L^d	N_L^{wk}	$\log A_O$	ξ_{micro} km s ⁻¹	$\log A_N^f$
α Tau	13	13	25	9	8.38 ± 0.04	2.20 ± 0.09	28	25	8.79 ± 0.04	3.85 ± 0.13	8.05
δ Oph	5	5	21	6	8.27 ± 0.08	2.46 ± 0.16	23	21	8.76 ± 0.09	3.81 ± 0.94	...
ν Vir	6	6	22	10	8.13 ± 0.08	2.14 ± 0.15	42	27	8.83 ± 0.14	2.84 ± 0.54	8.58
α Cet	6	6	11	3	8.64 ± 0.05	1.95 ± 0.08	55	20	8.84 ± 0.05	2.67 ± 0.24	8.11
σ Lib	6	6	11	3	8.64 ± 0.05	1.95 ± 0.08	38	18	8.98 ± 0.14	4.25 ± 1.03	7.73
σ Lib	12	12	14	2	8.23 ± 0.03	2.09 ± 0.13	51	19	8.82 ± 0.10	2.03 ± 0.20	8.15
λ Aqr	5	5	13	4	8.56 ± 0.11	1.97 ± 0.15	30	7	8.92 ± 0.15	6.24 ± 6.01	7.88
β Peg	12	12	29	7	8.27 ± 0.05	2.04 ± 0.07	53	16	8.77 ± 0.12	3.12 ± 0.72	8.11
τ^4 Eri	6	5	20	1	8.41 ± 0.04	3.57 ± 0.46	25	9	8.87 ± 0.19	3.38 ± 1.32	7.94
μ Gem	19	19	34	9	8.32 ± 0.06	2.00 ± 0.11	36	16	8.81 ± 0.13	2.58 ± 0.33	...
δ Vir	16	16	19	4	8.50 ± 0.06	2.20 ± 0.11	36	11	8.84 ± 0.15	2.47 ± 0.45	7.90
10 Dra	5	5	15	3	8.43 ± 0.14	2.69 ± 0.26	30	15	8.92 ± 0.18	2.44 ± 0.41	8.11
ρ Per	13	13	22	2	8.27 ± 0.07	3.59 ± 0.64	43	7	8.87 ± 0.07	3.11 ± 0.98	8.15
BS6861	5	4	12	2	8.38 ± 0.13	4.91 ± 1.29
δ^2 Lyr	5	5	13	1	8.22 ± 0.18	2.86 ± 0.40	19	3 ^e	8.41
RR UMi	15	15	15	4	8.26 ± 0.12	2.48 ± 0.23	34	5	8.76 ± 1.05	2.66 ± 2.60	8.07
α Her	11	10	17	3	8.40 ± 0.13	2.82 ± 0.23	22	0	42	16	8.85 ± 0.05	2.92 ± 0.09	8.14
OP Her	21	4	8.27 ± 0.60	2.53 ± 0.72
XY Lyr	14	4	8.15 ± 0.15	2.96 ± 0.24
R Lyr	14	14	17	2	8.26 ± 0.08	2.95 ± 0.24	52	31	8.61 ± 0.04	2.99 ± 0.10	8.06
							25	4	8.62 ± 0.05	6.53 ± 3.20	...
RZ Ari	12	12	26	7	8.10 ± 0.09	2.54 ± 0.15	28	15	8.57 ± 0.14	3.35 ± 0.28	8.44
							52	21	8.67 ± 0.07	3.49 ± 0.50	...
30g Her	6	5	24	3	8.35 ± 0.11	2.61 ± 0.26	23	0	38	26	8.75 ± 0.09	2.49 ± 0.16	7.92
SW Vir	11	6	15	1	8.26 ± 0.20	4.21 ± 2.38	29	16	8.54 ± 0.11	1.83 ± 0.71	8.46
RX Boo	6	2	13	1	8.32 ± 1.62	3.41 ± 2.38	13	12	8.55 ± 0.10	2.04 ± 0.18	8.26

^a The number of CO lines measured in the H band region, of which the number of weak lines ($\log W/\nu \lesssim -4.75$) is given in the next column.

^b The same as ^a but in the K band region.

^c The same as ^a but for OH lines in the H band region.

^d The same as ^a but for OH lines in the L band region.

^e with only 3 weak lines of similar intensities, the micro-turbulent velocity cannot be fixed by our line-by-line analysis.

^f N abundances based on CN (Aoki & Tsuji 1997) corrected for the effect of the revised C abundances.

bon abundances agree rather well with the other results except for α Cet, for which our result shows unusually high C abundance.

5. The case of OH

Hydroxyl radical OH shows rich spectra due to ro-vibrational transitions in the infrared region. The fundamental and first overtone bands are observed well in the L and H band regions, respectively, in cool oxygen-rich stars. Spectroscopic data such as the line positions and intensities of OH are taken from the GEISA databank (Jacquinet-Husson et al. 1999), which covers well the high excitation transitions of OH observed in stellar spectra.

5.1. The OH fundamental bands

First, we applied the same method as used for CO to the lines of the OH fundamentals. We include the carbon abundance determined in the previous section as an input abundance for each star. Since OH formation depends on $A_O - A_C$ rather than on A_O , determination of the carbon abundance is a prerequisite for OH analysis to determine the oxygen abundance. An example is shown for α Her in Fig. 10a, in which the results of the analysis with $\xi_{\text{micro}} = 2.0, 3.0$, and 4.0 km s⁻¹ are shown. We again encountered the same difficulty as for the case of the CO first overtone bands in that the stronger lines do not follow the pattern expected

from the weaker lines. Furthermore, it appears very clearly that these weaker and stronger lines are divided at $\log W/\nu \approx -4.75$ and not at $\log W/\nu \approx -4.5$ suggested from our previous analysis of the CO first overtone bands alone (Paper I). In fact, we first noticed that $\log W/\nu \approx -4.75$ should be an important value for dividing the lines into two groups by this analysis of the OH fundamental bands. With this result in mind, we also confirmed that this value is similarly important for the CO lines, but clearly seen only if the first and second overtone bands are analyzed together (Sect. 4.2).

As for CO, the weaker lines show the behavior expected from the classical micro-turbulent model noted in Sect. 3. However, the stronger lines, which should suffer heavier saturation effect, do not show the behavior expected for the saturated lines. Thus, we apply the same grouping of lines as defined for CO (see footnote 5) to OH. From the weak lines, we found that the abundance corrections from different lines show a consistent value for $\xi_{\text{micro}} = 2.92$ km s⁻¹ as shown in Fig. 10b. We found again that it is impossible to smooth out the reflection at $\log W/\nu \approx -4.75$ by any choice of the micro-turbulent velocity, and thus the critical value of $\log W/\nu \approx -4.75$ can be deemed as well defined empirically. This result is consistent with Smith & Lambert (1990), who found that OH lines of 1-0 and 2-1 bands (mostly stronger than the lines of 3-2, 4-3, 5-4 bands) provide unreasonably large values of the oxygen abundance and cannot be used for abundance determinations.

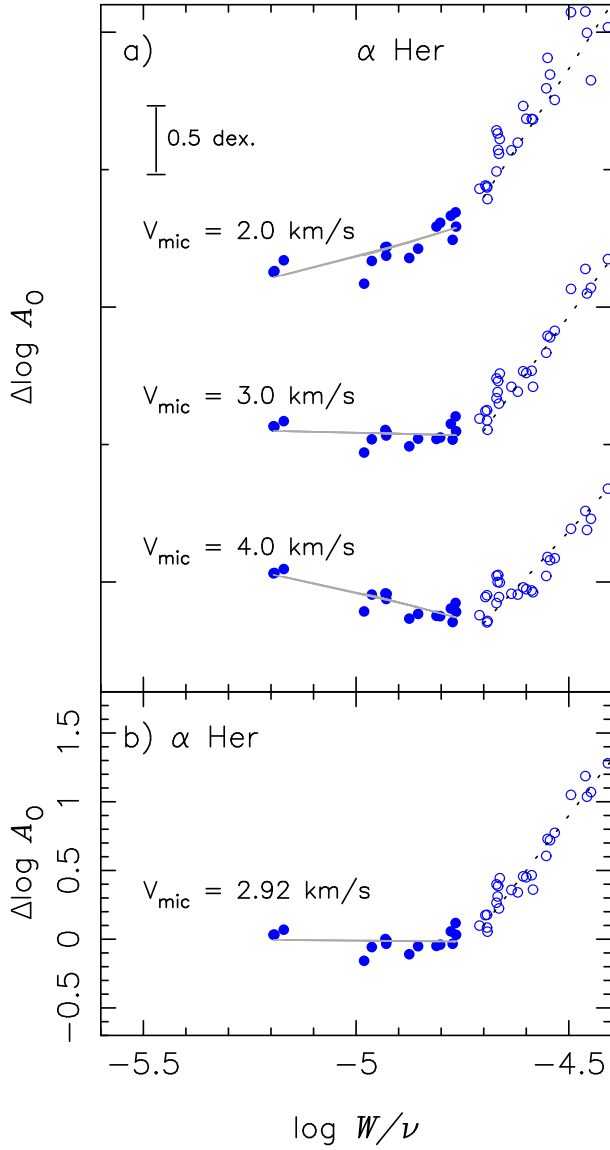


Fig. 10. **a)** Logarithmic abundance corrections for the lines of OH fundamental bands in α Her plotted against the observed $\log W/\nu$ values for assumed values of $\xi_{\text{micro}} = 2.0, 3.0,$ and 4.0 km s^{-1} (model: b/2.0/150/3300). **b)** Confirmation of the null logarithmic abundance corrections for $\log A_0 = 8.85$ and $\xi_{\text{micro}} = 2.92 \text{ km s}^{-1}$, which are the solution of the line-by-line analysis of the weak lines (filled circles) in a).

It is unclear if the same problem exists for the OH lines of K giant α Tau, since almost all lines measured are the weak lines. We recall that the anomalous behavior of the intermediate-strength lines of CO was already noticed in the K giant α Tau (Sect. 4.2). We are able to use almost all the OH lines measured in α Tau in the abundance analysis simply because they are the weak lines and the result is shown in Fig. 11a. However, all the other late M giants show similar pattern as for α Her. As examples, the results for R Lyr and SW Vir are shown in Figs. 11b and 11c, respectively (30g Her, RZ Ari, and RX Boo also show similar patterns).

We assume that the photospheric abundances of oxygen could be obtained exclusively from the weak lines, and summarize the resulting oxygen abundances and turbulent velocities obtained from the OH fundamentals in Table 6 for 7 objects for

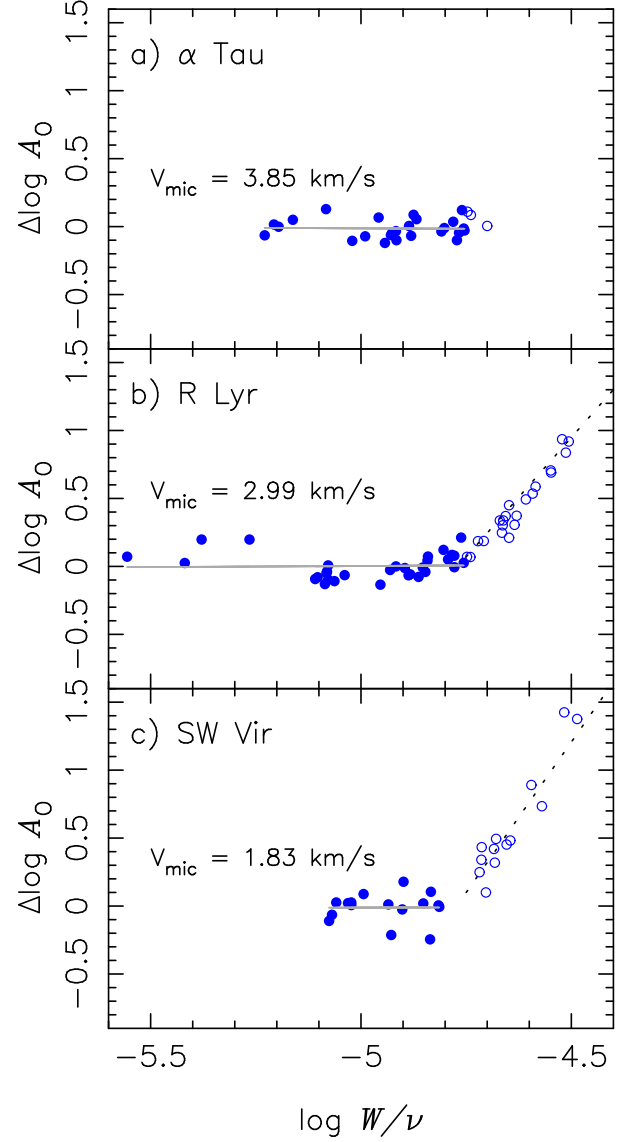


Fig. 11. **a)** Confirmation of the null logarithmic abundance corrections for $\log A_0 = 8.79$ and $\xi_{\text{micro}} = 3.85 \text{ km s}^{-1}$, resulting from the line-by-line analysis of the weak lines of OH in α Tau (model: a/2.0/50/3900). **b)** The same as a) but for $\log A_0 = 8.61$ and $\xi_{\text{micro}} = 2.99 \text{ km s}^{-1}$ in R Lyr (model: b/2.0/150/3300). **c)** The same as a) but for $\log A_0 = 8.54$ and $\xi_{\text{micro}} = 1.83 \text{ km s}^{-1}$ in SW Vir (model: b/2.0/250/2900).

which the L band spectra are available (those objects with non-zero N_L^{wk} in the 11-th column).

Again, a problem is why the intermediate-strength lines cannot be used for abundance analysis. We suggested that some contributions from the outer molecular layers will be disturbing the most strong lines in the case of CO. To examine if this may also be the case for OH, we plotted the lower excitation potentials (LEPs) of all the lines in Fig. 10a against $\log W/\nu$ in Fig. 12b. For comparison, we made a similar plot in Fig. 12a for CO lines of α Her analyzed in Fig. 3. Unlike the case of the strong CO lines originating from the levels of very low LEP less than 0.5 eV, the intermediate-strength lines of OH are not necessarily originating from the very low LEP, but rather from the levels with LEP ≈ 0.5 –1.5 eV. However, the intermediate-strength lines of CO are also not originating from the levels with very low excitation lev-

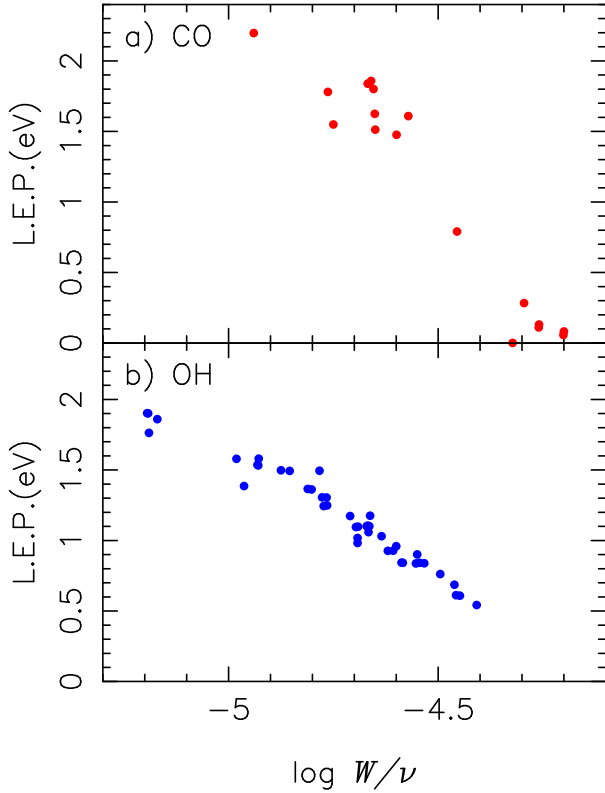


Fig. 12. Lower excitation potentials (LEPs) of the lines plotted against $\log W/\nu$ values. **a)** CO first overtone bands observed in α Her. **b)** OH fundamental bands observed in α Her.

els, and the intermediate-strength lines of CO and OH may have the same origin as will be discussed in Sect. 7.2.

5.2. The OH first overtone bands

Unfortunately, we have only 7 spectra of the L band region, and we analyze the OH first overtone bands observed in the H band region to extend our sample. Unlike the most molecules that the overtone bands are weaker than the fundamental bands, the case of OH is rather special in that the first overtone bands are as strong as the fundamental bands or even stronger. As a result, it is more difficult to measure weak lines in the first overtone than in the fundamental bands especially in cooler objects, and we cannot analyze the first overtones of OH in some cool objects. Fortunately, most of these objects are those for which we have the L band spectra and oxygen abundances are already determined from the OH lines of the fundamental bands (Sect. 5.1).

We apply the same method as used for the fundamental bands and an example is shown in Fig. 13a for ρ Per. For this case of the overtone bands, compared to the case of the fundamental bands discussed in Sect. 5.1, it is shown more clearly that the OH lines are divided into two groups that behave quite differently. Even though abundances can be determined rather well from the weak lines, it is hopeless to determine abundances from the intermediate-strength lines. It is also interesting to note that the abundance corrections for the intermediate-strength lines show a similar behavior to those for the intermediate-strength lines of CO in ρ Per (Figs. 8a-b).

In the K giant α Tau, most lines measured are weak lines as in the fundamental bands, and we use most of these lines for our abundance determination (Fig. 14a). In the M3 giant σ Lib, the

abundance corrections for the intermediate-strength lines show large positive deviations compared with those for the weak lines, for which the abundance corrections converge to null for $\log A_C = 8.82$ and $\xi_{\text{micro}} = 2.03 \text{ km s}^{-1}$ (Fig. 14b). The M5 giant R Lyr shows similar pattern as for ρ Per (Fig. 14c). The resulting micro-turbulent velocity is unreasonably large, but the large PE implies that such a result cannot be realistic. This is because small errors in the measured equivalent widths will give serious effect on the determination of ξ_{micro} value when only a few weak lines are available, and this is a difficulty of our line-by-line analysis. Nevertheless, the abundance can be determined rather well because of the use of the weak lines, which depend little on ξ_{micro} value. The difficulty of measuring weak lines in the first overtones of OH is more serious for some late M giants including δ^2 Lyr, 30g Her, α Her, SW Vir, RX Boo, and we had to give up to analyze the H band spectra of these stars.

We confirm both in the CO and OH lines that the behaviors of the intermediate-strength lines are quite complicated and show different patterns for different objects. For example, the intermediate-strength lines, both of OH and CO, do not necessarily show positive abundance corrections compared with those for the weak lines in ρ Per and R Lyr. It is interesting to note that CO and OH lines show similar patterns on the $\Delta \log A - \log W/\nu$ diagrams if these OH and CO lines are measured from the same spectrum as are the cases of ρ Per and R Lyr⁷.

We summarize the resulting oxygen abundances based on the weak lines of the OH first overtone bands for 15 objects in Table 6 (those with non-zero N_H^{wk} in the 9-th column). The oxygen abundances could be determined independently from the fundamental and first overtone bands for three objects, α Tau, R Lyr, and RZ Ari. The resulting oxygen abundances, compared directly in the 12-th column of Table 6, agree well within the internal errors of both the analyses. The micro-turbulent velocities also agree well except for R Lyr, for which the reason for the difficulty was discussed already (see Fig. 14c). The micro-turbulent velocities of α Tau based on the fundamental and overtone bands agree quite well ($\xi_{\text{micro}} \approx 3.8 \text{ km s}^{-1}$), but disagree with the result obtained from the CO lines ($\xi_{\text{micro}} \approx 2.2 \text{ km s}^{-1}$). This result reminds us a question what the micro-turbulent velocity means.

5.3. Uncertainties and comparison with other authors

We notice in Table 6 that the values of micro-turbulent velocity are sometimes unexpectedly large both for CO and OH, and that most cases of $\xi_{\text{micro}} \gtrsim 4 \text{ km s}^{-1}$ are found when the numbers of weak lines used are below 10. The ξ_{micro} value by our analysis based on the method outlined in Sect. 3 is susceptible to small errors in the measured EWs especially if only a small number of lines can be used. The poor quality of the data is reflected in the large PE of the derived ξ_{micro} in Table 6, and the resulting ξ_{micro} value cannot be reliable for such a case. For example, ξ_{micro} values as large as 6 km s^{-1} for λ Aqr and R Lyr are almost useless because of the very large PEs.

Unlike CO, OH may be more susceptible to a change in the physical condition. To compare with the case of CO (Sect. 4.3), we again examined the case of the cool giant α Her. We repeat the same analysis of the OH lines of α Her done with a model

⁷ While the intermediate-strength lines of CO in R Lyr (Fig. 9b) and SW Vir (Fig. 9c) show negative abundance corrections, the intermediate-strength lines of OH fundamentals in R Lyr (Fig. 11b) and SW Vir (Fig. 11c) do not. But OH fundamental bands were not observed simultaneously with CO bands (see Table 2) and it is possible that line formations took place at different conditions in these variable stars.

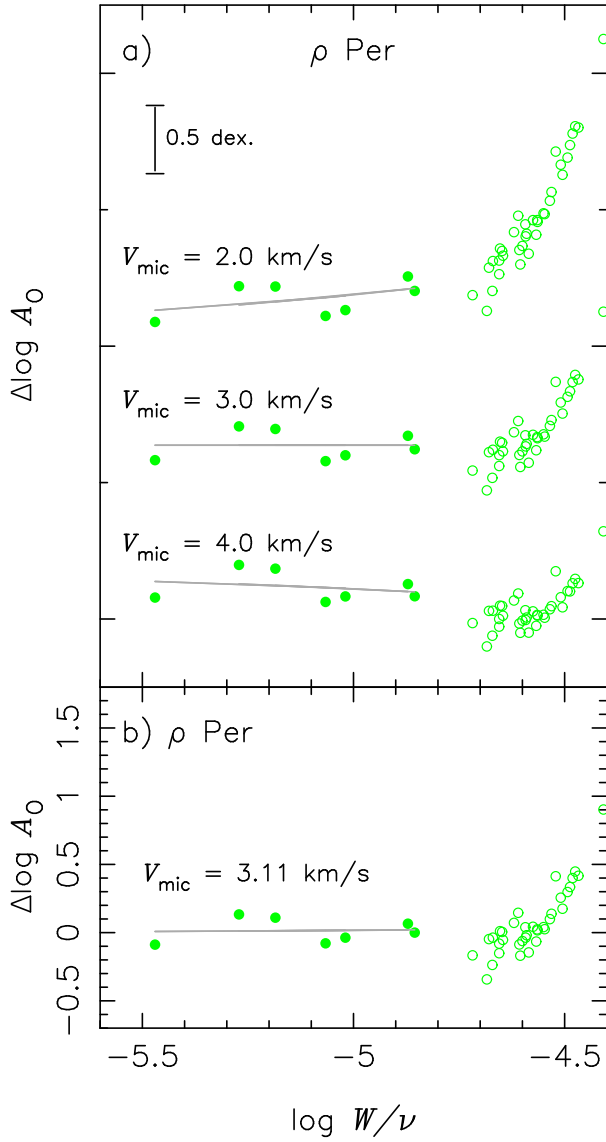


Fig. 13. **a)** Logarithmic abundance corrections for the lines of the OH first overtone bands in ρ Per plotted against the observed $\log W/\nu$ values for assumed values of $\xi_{\text{micro}} = 2.0, 3.0,$ and 4.0 km s^{-1} (model: b/2.0/100/3500). **b)** Confirmation of the null logarithmic abundance corrections for $\log A_O = 8.87$ and $\xi_{\text{micro}} = 3.11 \text{ km s}^{-1}$, which are the solution of the line-by-line analysis of the weak lines (filled circles) in a).

of $M = 2.0 M_{\odot}$ (b/2.0/150/3300) giving the results shown in Table 6 ($\log A_O = 8.85$ and $\xi_{\text{micro}} = 2.92 \text{ km s}^{-1}$). Now, with a model of $M = 1.0 M_{\odot}$ (b/1.0/150/3300), the results are $\log A_O = 8.91 \pm 0.13$ and $\xi_{\text{micro}} = 3.30 \pm 0.08 \text{ km s}^{-1}$. The differences are again unexpectedly small. The reason for this may again be due to the use of only the weak lines, which are formed rather deep in the photosphere.

Finally, we again compare our results with those by Smith & Lambert (1990) and by Decin et al. (2003) in Table 8. For most cases of oxygen abundances, the results agree reasonably well within the error bars of these analyses. It is interesting that the agreements are reasonably good despite possible differences in the model photospheres used.

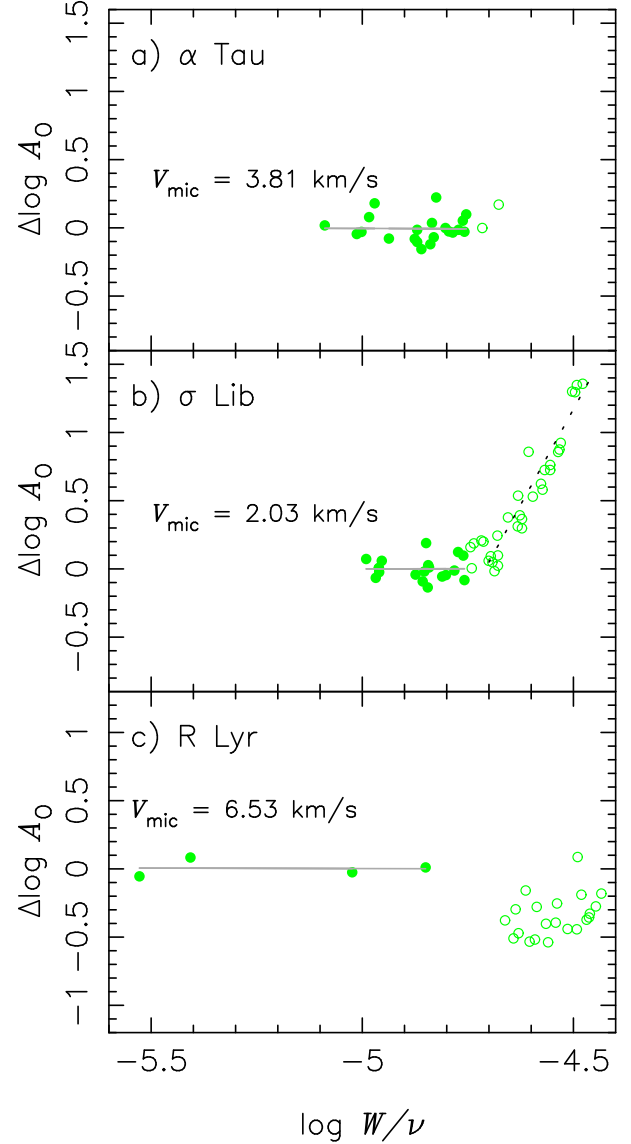


Fig. 14. **a)** Confirmation of the null logarithmic abundance corrections for $\log A_O = 8.76$ and $\xi_{\text{micro}} = 3.81 \text{ km s}^{-1}$, resulting from the line-by-line analysis of the weak lines of OH in α Tau (model: a/2.0/50/3900). **b)** The same as a) but for $\log A_O = 8.82$ and $\xi_{\text{micro}} = 2.03 \text{ km s}^{-1}$ in σ Lib (model: a/2.0/100/3600). **c)** The same as a) but for $\log A_O = 8.62$ and $\xi_{\text{micro}} = 6.53 \text{ km s}^{-1}$ in R Lyr (model: b/2.0/150/3300).

6. Carbon and oxygen isotopic ratios

6.1. Line-by-line analysis

We applied the line-by-line analysis to the isolated lines of $^{12}\text{C}^{16}\text{O}$, $^{13}\text{C}^{16}\text{O}$, and $^{12}\text{C}^{17}\text{O}$. We use only the weak lines but include $^{12}\text{C}^{16}\text{O}$ lines of the first and second overtone bands together. The ξ_{micro} values determined from the $^{12}\text{C}^{16}\text{O}$ lines of the first and second overtone bands in Sect. 4.2 are used to determine abundances of $^{13}\text{C}^{16}\text{O}$ and $^{12}\text{C}^{17}\text{O}$ as well. Given a ξ_{micro} value, abundance can be determined directly from each line. Some examples of the results are shown in Fig. 15a-d for 10 Dra, RZ Ari, δ Oph, and μ Gem. Unfortunately, the number of lines of the isotopic species measured is rather small and the weak lines could not be measured at all for some cases especially for $^{12}\text{C}^{17}\text{O}$.

Table 7. Isotopic ratios (with PEs) in 23 red giant stars

Obj.	$N_{\text{wk}}(13)^a$	$N_{\text{wk}}(17)^b$	$^{12}\text{C}/^{13}\text{C}$	$^{16}\text{O}/^{17}\text{O}$
α Tau	2	0	10.6 ± 1.0	>1000
δ Oph	3	2	11.1 ± 0.9	387 ± 68
ν Vir	5	0	8.7 ± 1.3	>2000
α Cet	2	2	11.1 ± 0.8	586 ± 47
σ Lib	2	0	7.5 ± 0.3	>1500
λ Aqr	2	0	7.9 ± 1.4	>1000
β Peg	3	0	7.7 ± 0.5	>2500
τ^4 Eri	1	1	12.4 ± 0.3	687 ± 14
μ Gem	6	1	10.5 ± 1.2	798 ± 73
δ Vir	4	0	12.3 ± 1.2	>2500
10 Dra	3	3	14.8 ± 1.6	151 ± 11
ρ Per	2	0	9.7 ± 1.0	>1000
BS6861	1	0	48.5 ± 2.9	>1000
δ^2 Lyr	2	2	16.2 ± 1.5	465 ± 41
RR UMi	1	0	10.0 ± 0.8	>2000
α Her	2	4	11.1 ± 0.7	102 ± 8
OP Her	2	1	11.3 ± 1.2	329 ± 31
XY Lyr	1	3	15.0 ± 0.4	223 ± 16
R Lyr	1	3	6.4 ± 0.3	368 ± 44
RZ Ari	3	2	7.9 ± 0.8	607 ± 48
30g Her	2	3	12.5 ± 1.1	211 ± 42
SW Vir	2	2	22.0 ± 4.7	432 ± 37
RX Boo	0	3	...	233 ± 20

^a number of weak lines of $^{13}\text{C}^{16}\text{O}$.^b number of weak lines of $^{12}\text{C}^{17}\text{O}$.

For example, the lines measured for 10 Dra are $^{12}\text{C}^{17}\text{O}$ (2, 0) R 25, R 27, and R 32 on the spectrum shown in Fig. 16a. This is a favorable case in that three $^{12}\text{C}^{17}\text{O}$ lines could be measured, and these lines are used for the analysis of Fig. 15a. In the case of RZ Ari shown in Fig. 16b, the $^{12}\text{C}^{17}\text{O}$ lines are weaker and we could measure only two lines (R 25 and R 32) for the line-by-line analysis of Fig 15b. The $^{12}\text{C}^{17}\text{O}$ lines are still weaker in λ Aqr and RR UMi shown in Figs. 16c and 16d, respectively, and no line could be measured in these cases. The difficulty of measuring weak lines of $^{13}\text{C}^{16}\text{O}$ is more or less the same, although at least one line could be measured in most objects except for RX Boo.

The $^{12}\text{C}/^{13}\text{C}$ and $^{16}\text{O}/^{17}\text{O}$ ratios based on the resulting $^{12}\text{C}^{16}\text{O}$, $^{13}\text{C}^{16}\text{O}$, and $^{12}\text{C}^{17}\text{O}$ abundances are given 4- and 5-th columns, respectively, of Table 7 with their probable errors (PEs). The resulting $^{12}\text{C}/^{13}\text{C}$ ratios are mostly larger compared with our previous result (Tsuji 2007), and this is because $^{12}\text{C}^{16}\text{O}$ abundances are increased due to the use of the lines of the first and second overtones together.

6.2. Synthetic spectra

We apply the spectral synthesis method as a check of the results given in Sect. 6.1. With the carbon abundance and micro-turbulent velocity from Table 6 and with the isotopic ratios from Table 7, we generate synthetic spectra between 4279 and 4294 cm^{-1} , where $^{12}\text{C}^{17}\text{O}$ (2-0) R 25 – 33 lines are found. Before we compare the synthetic spectra with observed ones, we corrected for the effects of the instrument broadening with the Norton-Beer's apodization function 2 (Norton & Beer 1976), which was used in apodization of the observed spectra (see Paper I), and also of the macro-turbulent broadening based on the measured intrinsic line widths (see Table 4 of Paper III)⁸. As an exam-

ple, we compare the observed spectrum of 10 Dra (dots) with the synthetic one (heavy solid line) in Fig. 16a. In this region, the weak line of $^{12}\text{C}^{16}\text{O}$ (2-0) R 92 can clearly be seen at 4292 cm^{-1} , which serves as a check of the carbon abundance⁹. The lines of $^{12}\text{C}^{17}\text{O}$ (2-0) R 25, 27, and 32 used to determine $^{12}\text{C}^{17}\text{O}$ abundance in Sect. 6.1 can be reproduced well by the synthetic spectrum. Some other lines of $^{12}\text{C}^{17}\text{O}$ such as (2-0) R 26 and 29 should include extra components, since the synthetic spectrum computed without $^{12}\text{C}^{17}\text{O}$ at all (light solid line) shows some absorption features. Also some $^{12}\text{C}^{17}\text{O}$ lines are badly blended with other lines, but all the lines of $^{12}\text{C}^{17}\text{O}$ are not contradicting with the synthetic spectrum computed for $^{16}\text{O}/^{17}\text{O}$ ratio of 151 (Table 7). As another example, the case of RZ Ari is shown in Fig. 16b. Although the $^{12}\text{C}^{17}\text{O}$ lines are weaker than in the case of 10 Dra, some unblended features such as (2-0) R 25 and 32 and all the other lines of $^{12}\text{C}^{17}\text{O}$ are not contradicting with the synthetic spectrum computed for $^{16}\text{O}/^{17}\text{O}$ ratio of 607 (Table 7).

The major purpose of computing the synthetic spectra, however, is to estimate the $^{16}\text{O}/^{17}\text{O}$ ratio for the cases in which the $^{12}\text{C}^{17}\text{O}$ lines are too weak for EWs to be measured accurately. For example, all $^{12}\text{C}^{17}\text{O}$ features are too weak for their EWs to be measured in the case of λ Aqr shown in Fig. 16c and these features, including relatively undisturbed ones such as (2-0) R 32 and 33, do not contradict with the synthetic spectrum computed for $^{16}\text{O}/^{17}\text{O}$ ratio of 1000. As another example, the observed spectrum of RR UMi shown in Fig. 16d may not contradict with the synthetic spectrum computed for $^{16}\text{O}/^{17}\text{O}$ ratio of 2000. This conclusion depends largely on subtle depressions in (2-0) R 25 and 32. On the other hand, definite absorption seen at the position of R 26 and 29 also appear in the synthetic spectrum computed without $^{12}\text{C}^{17}\text{O}$ at all (light solid line) and are not due to $^{12}\text{C}^{17}\text{O}$. However, it is possible that the features assumed to be due to $^{12}\text{C}^{17}\text{O}$ may still include unknown impurities, and the $^{16}\text{O}/^{17}\text{O}$ ratio estimated on such subtle features should at best be regarded as a lower estimate to the $^{16}\text{O}/^{17}\text{O}$ ratio. The $^{16}\text{O}/^{17}\text{O}$ ratios estimated this way are given in the 5-th column of Table 7 without error bars.

6.3. Uncertainties and Comparisons with other authors

The serious uncertainties in the analysis of such faint lines as $^{12}\text{C}^{17}\text{O}$ in cool stars are the location of the continuum level and effect of blending by many weak lines. As a guide to examine such problems, we computed synthetic spectra such as Fig. 16 for the regions with rich lines of $^{12}\text{C}^{17}\text{O}$ (4264 - 4295 cm^{-1}) as well as of $^{13}\text{C}^{16}\text{O}$ (4235 - 4266 cm^{-1}) for all the spectra analyzed. This was of some help to reject blending lines in our line list. Further, we examined the correlations among line intensities, line-widths, line-depths, and radial velocities, and rejected those lines that show large deviations. The continuum level was estimated by connecting the highest peaks by a smooth curve and the consistency of the resulting continuum can also be checked with the synthetic spectra. However, we must look for the spectra with still higher resolution to have final check of the adopted continuum. Also, a comparison of the different authors' results (see below) suggests that the errors in the final isotopic ratios

Gaussian micro- and macro-turbulence. The resulting values are; $\xi_{\text{macro}} = 2.7, 2.1, 3.9$, and 2.8 km s^{-1} for 10 Dra, RZ Ari, λ Aqr, and RR UMi, respectively.

⁹ Strong lines noted by the open triangle in Fig. 16d are mostly originating from $^{12}\text{C}^{16}\text{O}$ (3,1) and they are too strong to be used as indicator of the carbon abundance.

⁸ The macro-turbulent velocity is derived by subtracting the effect of the micro-turbulent velocity from the line width on the assumption of

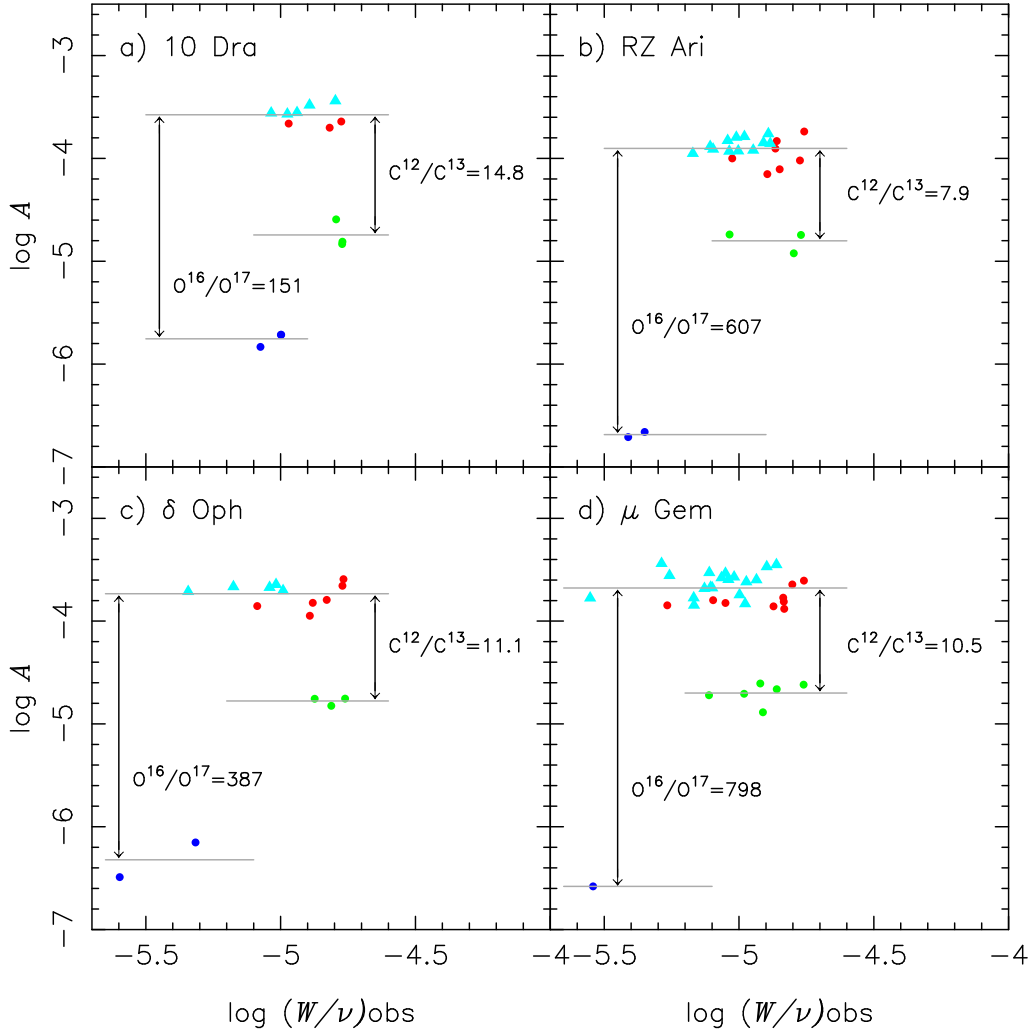


Fig. 15. The ordinate scale shows abundances of $^{12}\text{C}^{16}\text{O}$ (first and second overtones by filled circles and triangles, respectively), $^{13}\text{C}^{16}\text{O}$ (filled circles), and $^{12}\text{C}^{17}\text{O}$ (filled circles) derived from the observed values of $\log W/\nu$ in the abscissa. **a)** 10 Dra. Note that there are three lines of $^{13}\text{C}^{16}\text{O}$ and $^{12}\text{C}^{17}\text{O}$, of which two are overlapping in the plot. **b)** RZ Ari. **c)** δ Oph. **d)** μ Gem.

due to such uncertainties may be as large as 100% (or factor of two).

The carbon isotopic ratios were studied relatively well and we compare our $^{12}\text{C}/^{13}\text{C}$ ratios with those by Smith & Lambert (1990) and by other authors in Table 8. In addition to those cited in Table 8, Wallerstein & Morell (1994) reported the $^{12}\text{C}/^{13}\text{C}$ ratios for 8 early M giants to be 7 - 12, although no object common to our sample is included. Our results on $^{12}\text{C}/^{13}\text{C}$ ratios appear to agree rather well with the results by other authors in general.

The determination of the oxygen isotopic ratios is more difficult but a detailed identification of $^{12}\text{C}^{17}\text{O}$ features was done on the high resolution FTS spectra by Maillard (1974), who estimated $^{16}\text{O}/^{17}\text{O}$ ratio in α Her for the first time. We compare our $^{16}\text{O}/^{17}\text{O}$ ratios with those by Harris & Lambert (1984) and by other authors in Table 8. Also, Wallerstein & Morell (1994) reported lower limits to $^{16}\text{O}/^{17}\text{O}$ ratio in 8 early M giant stars to be 85 - 295. Inspection of Table 8 reveals that $^{16}\text{O}/^{17}\text{O}$ ratios by different authors differ by factors of 2 - 4. This may largely be due to difficulty to locating the continuum levels and to measuring weak features, and there should be a room to improve the results by better spectroscopic data.

7. Discussion

7.1. Abundance analysis and photospheric structure

The abundance analysis of very cool stars is associated with many difficulties. In particular, absorption lines tend to be strong due to the low continuous opacity at low temperatures of the photospheres of cool giant stars. The consequences are severe blending and uncertainties in the continuum level, which are not only the obvious difficulties by themselves but also make it difficult to measure other weak lines which are most important in abundance determination. In fact, if a sufficient number of weak lines which are linearly proportional to the effective column density of a species under consideration can be measured, abundance determination is quite easy. Such an ideal case is seldom realized especially in cool stars. However, it was thought possible to carry out an abundance analysis with the use of the micro-turbulent model (or curve-of-growth method) even if saturated lines had to be used. This model has in fact been applied with reasonable success to abundance analysis in general until recently.

The real difficulty in the abundance analysis of cool stars is that the classical method based on the micro-turbulent model can no longer be applied for lines of equivalent width larger than a

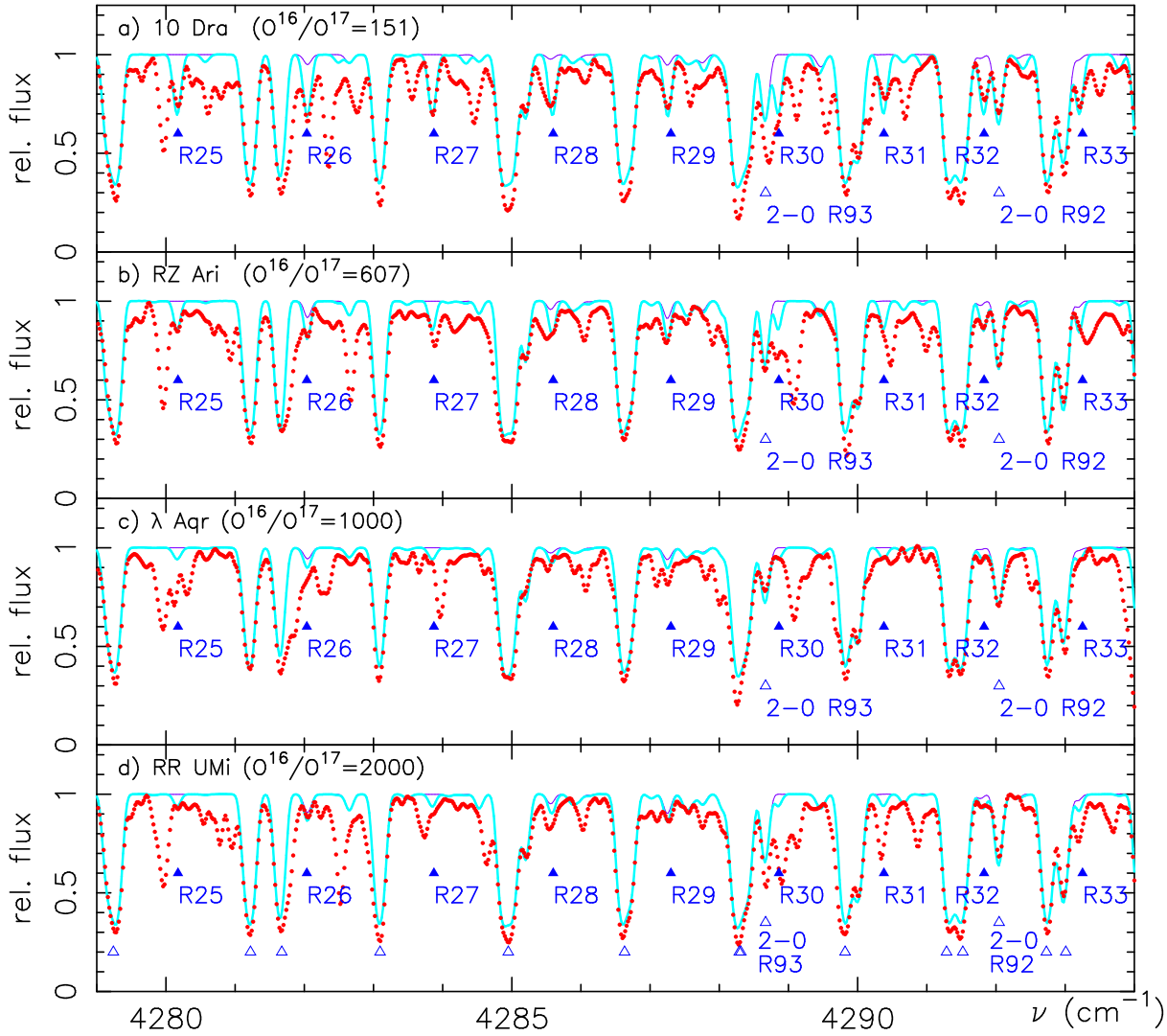


Fig. 16. Observed spectra are shown by dots. Some $^{12}\text{C}^{16}\text{O}$ and $^{12}\text{C}^{17}\text{O}$ features are indicated by open and filled triangles, respectively. Synthetic spectra with assumed $^{16}\text{O}/^{17}\text{O}$ ratios are shown by heavy solid lines while those with null ^{17}O by light solid lines. **a)** Observed spectrum of 10 Dra compared with the synthetic one with $^{16}\text{O}/^{17}\text{O} = 151$. **b)** Observed spectrum of RZ Ari compared with the synthetic one with $^{16}\text{O}/^{17}\text{O} = 607$. **c)** Observed spectrum of λ Aqr compared with the synthetic one with $^{16}\text{O}/^{17}\text{O} = 1000$. **d)** Observed spectrum of RR UMi compared with the synthetic one with $^{16}\text{O}/^{17}\text{O} = 2000$.

certain critical value, which we found to be $\log W/\nu \approx -4.75$ for the case of M giant stars (Sects. 4 & 5). Since stronger lines are formed in the upper photospheric layers where modeling may be increasingly uncertain, this difficulty can be related to the problem of the model photospheres. Previously, Smith & Lambert (1990) noted that the CO lines stronger than about $\log W/\nu \approx -4.8 \sim -4.7$ should not be used for abundance analysis, since these lines are formed in the layers above the continuous optical depth of $\tau_c \approx 10^{-3}$, where the photospheric structure cannot be described well by the usual model photospheres. We arrive at a similar conclusion that the lines stronger than $\log W/\nu \approx -4.75$ cannot be used for abundance analysis, but by somewhat different argument based on purely empirical evidence shown most clearly for the OH lines of the fundamental bands (Fig. 10).

Then, a problem is again why the intermediate-strength lines show such abundance corrections as large as 1.0 dex or more when the weak lines show null corrections? Probably, it is unlikely that the uncertainty in the thermal structure of the upper

layers could produce such a large effect on the intermediate-strength lines, since differences in the model photospheres have only modest effects on abundances as we have seen before (Sects. 4.3 & 5.3). Also, no model of the upper photosphere to explain the intermediate-strength lines or the stronger lines is known. On the other hand, dynamical structure represented by stellar turbulence may have more serious effect on the intermediate-strength lines heavily saturated. Taking advantage that the line positions and line profiles accurately can be measured with FTS, we showed that the line asymmetries and differential line shifts of CO lines are quite large and that a simple model of the depth-independent and isotropic Gaussian micro-turbulence cannot be justified (Paper III).

Even if we know that a simple model of micro-turbulence is not sufficiently realistic enough, yet it is unknown how the behavior of the intermediate-strength lines (Figs. 4 - 11 & 13 - 14) can be reproduced with better treatment of stellar turbulence. In this connection, it is interesting if a more consistent treatment of the dynamical structure of stellar photosphere by

Table 8. Comparisons with the results by other authors

Obj.	$\log A_C^a$	$\log A_C$	$\log A_O^a$	$\log A_O$	$^{12}\text{C}/^{13}\text{C}^a$	$^{12}\text{C}/^{13}\text{C}$	$^{16}\text{O}/^{17}\text{O}^a$	$^{16}\text{O}/^{17}\text{O}$
α Tau	8.38 ± 0.04	8.40 ± 0.07^b 8.35 ± 0.20^c	8.78 ± 0.07	8.78 ± 0.08^b 8.93 ± 0.20^c	11 ± 1	10 ± 2^b 10 ± 2^c	>1000	560 ± 180^f
ν Vir	8.13 ± 0.08	8.51 ± 0.08^b	8.84 ± 0.05	8.91 ± 0.06^b	9 ± 1	12 ± 2^b		
α Cet	8.64 ± 0.05	8.20 ± 0.30^c	8.98 ± 0.14	8.93 ± 0.30^c	11 ± 1	10 ± 2^c		
β Peg	8.27 ± 0.05	8.42 ± 0.07^b 8.20 ± 0.40^c	8.77 ± 0.12	8.81 ± 0.06^b 8.93 ± 0.40^c	8 ± 1	8 ± 2^b 5 ± 3^c	>2500	1050 ± 375^f
μ Gem	8.32 ± 0.06	8.43 ± 0.04^b	8.81 ± 0.13	8.82 ± 0.12^b	11 ± 1	13 ± 2^b	798 ± 73	325 ± 112^f
δ Vir	8.50 ± 0.06	8.61 ± 0.10^b	8.84 ± 0.15	8.82 ± 0.06^b	12 ± 1	16 ± 4^b		
10 Dra	8.43 ± 0.14	8.59 ± 0.08^b	8.92 ± 0.18	9.04 ± 0.09^b	15 ± 2	12 ± 3^b		
ρ Per	8.27 ± 0.07	8.46 ± 0.04^b	8.87 ± 0.07	8.92 ± 0.15^b	10 ± 1	15 ± 2^b		
α Her					11 ± 1	17 ± 4^d 5 ± 1^e	102 ± 8	190 ± 40^f 450 ± 50^e
30g Her	8.35 ± 0.11	8.25 ± 0.07^b	8.75 ± 0.09	8.73 ± 0.08^b	13 ± 1	10 ± 2^b	211 ± 42	675 ± 175^g

^a present results.^b Smith & Lambert (1990).^c Decin et al. (2003).^d Hinkle et al. (1976).^e Maillard (1974).^f Harris & Lambert (1984).^g Harris et al. (1985).

recent 3 D models can resolve the present difficulty. Recently, a detailed numerical simulation of surface convection in red giant stars is reported by Collet et al. (2007). Although their simulations were not extended to the regime of M giants, their result for the coolest model of $T_{\text{eff}} = 4697$ K and $[\text{Fe}/\text{H}] = 0.0$ indicated that a difference between 3D and 1D oxygen abundances derived from OH lines (not ro-vibrational lines but of A - X system at 3150 \AA) is about 0.1 dex. This seems to be due to the difference of the thermal structures between the 3D and 1D models, but possible effect of the dynamical structure of the 3D models on the saturated lines is unknown yet. Their results showed that the effect of the 3D structure should be more important in stars of the lower metallicities, but it is unclear if such a large difference in abundances derived from the weak and intermediate-strength lines in our population I sample can be relaxed by considering the dynamical effect of convection in the 3 D models. Thus we are inclined to conclude that the difficulty we have encountered may not be resolved within the framework of the photospheric structure.

7.2. Abundance analysis and extra molecular envelope

We already know that the strong lines of CO in late M giant stars are badly disturbed by the contributions of the extra molecular layers (Paper II). Now, since the intermediate-strength lines and the strong lines behave similarly on the $\Delta \log A - \log W/\nu$ diagram (e.g. Figs. 4 - 5), an interesting question arises if the intermediate-strength lines can also be understood similarly as the strong lines. For the late M giants, the intermediate-strength lines are not necessarily originating from the levels of low LEP (see Fig. 12a), but still increasingly reduced contributions from higher excitation lines can be possible for modest kinetic temperatures of the warm molecular layers, and the trend of the intermediate-strength lines together with the strong lines in these giants (Figs. 4 - 5) can be explained consistently. In fact, given that the presence of the MOLsphere has been demonstrated in the late M giants (Paper II), it should at the same time produce excess absorption in the intermediate-strength lines as it does for

the strong lines. It is then quite natural to assume the same origin for the intermediate-strength lines and the strong lines in the late M giants.

Interestingly, the intermediate-strength lines of CO in the early M giants (including a K giant) mostly give larger abundance corrections compared with those from the weak lines (e.g. β Peg, α Tau, ν Vir, α Cet in Figs. 6 - 7). So far, we have not considered the effects of outer molecular layers in these early M giant stars¹⁰. But why not? Especially, the behaviors of the intermediate-strength lines on the $\Delta \log A - \log W/\nu$ diagrams are so similar for the late M (Figs. 4 - 5) and early M (Figs. 6 - 7) giants, it is natural to assume the same origin for the intermediate-strength lines in the early and late M giants. Furthermore, we identified H₂O bands on the ISO spectra of the K - early M giant stars included in our present sample (e.g. α Tau, β Peg, and α Cet) and one possibility is that these H₂O bands are originating in the outer molecular layers as for the late M giants (Tsuji 2001). But this is not a unique interpretation: For example, Ryde et al. (2002) detected H₂O lines on the high resolution spectrum of the K giant α Boo and suggested that its origin can be due to anomalous structure of the photosphere. We would like to point out, however, that the anomalous behavior of the intermediate-strength lines and the presence of H₂O bands in the K - early M giant stars can consistently be understood by assuming the presence of the outer molecular layers.

In the case of CO lines in late M giants such as ρ Per, R Lyr, and SW Vir, and also in the M3 giant τ^4 Eri, the intermediate-strength lines and the strong lines show quite different behaviors as shown in Figs. 8 - 9: The strong lines show positive abundance corrections while the intermediate-strength lines show mostly negative corrections for the carbon abundances obtained from the weak lines. We first thought that this difference could be a reason why we should consider different explanations for

¹⁰ We noticed that the lines of $\log W/\nu > -4.5$ show excess absorption in some early M giants in Paper II, but we regret that we did not pursue this case in more detail. With the critical value of $\log W/\nu \approx -4.5$, the lines showing excess absorption were limited to only few lines in the early M giant stars.

the intermediate-strength lines and the strong lines. However, we soon arrive at an opposite conclusion that this fact can be supporting evidence for the same origin of the intermediate-strength lines and the strong lines. In fact, a possible contribution from the outer molecular layers can be either absorption or emission depending on the extension, temperature, and optical thickness of the outer molecular layers for a particular line. We can therefore explain the negative abundance corrections for the intermediate-strength lines by possible reductions of their *EWs* due to filling in by the emission from the outer molecular layers. On the other hand, we have presently no explanation for the intermediate-strength lines if we assume photospheric origin for them.

Finally, a problem is whether the intermediate-strength lines of OH can be understood in the same way as for the CO lines. Unlike CO, OH may not be so abundant in the outer molecular layers, since oxygen may be mostly in H₂O at relatively cooler environment expected for the MOLsphere. For this reason, we first thought that the anomalous strengths of the intermediate-strength lines of OH may not be due to contamination from the outer molecular envelope, but may instead be originating in the photosphere itself. However, the infrared OH lines shows behaviors quite similar to the CO lines (e.g. Fig. 4 & 10), and it is again most natural to assume the same interpretation for the OH and CO lines. Given that the presence of OH is known even in the cooler circumstellar envelopes of red giant stars by OH masers, it may be possible that OH can be abundant in the warmer MOLsphere. Furthermore the assumption of the chemical equilibrium should probably not be applied to the outer layers and we should rather use the OH lines as probes of non-equilibrium processes in the outer layers. Then, we conclude that the intermediate-strength lines of OH observed in the late M giants such as α Her, R Lyr, and SW Vir (Fig. 10 - 11) as well as in the earlier M giant such as σ Lib (Fig. 14b) also include contributions from the outer molecular layers.

The possible presence of the outer molecular layers in red giant stars is supported by direct measurements of the angular extensions. For example, angular diameters of normal K and M giant stars, including several objects common to our sample, were measured in the strong TiO bands at 712 nm and in the nearby pseudo-continuum at 754 nm (Quirrenbach et al. 1993). The observed 712 nm/754 nm diameter ratios appeared to be larger than predicted by model photospheres, suggesting that the photospheric models cannot describe adequately the outer layers of these red giant stars, and the result may lend support for the presence of the extra molecular envelope (Quirrenbach 2001). Also, recent interferometric observations in the infrared bands provided direct support for the presence of extended warm molecular gas layers not only in Mira variables but also in the late M giants (e.g. Mennesson et al. 2002; Perrin et al. 2004). On the other hand, the apparent angular diameter of α Her in the mid infrared was found to be larger by 30% than its near infrared size, but the high resolution 11 μ m spectrum does not show any substantial spectral lines in α Her (Weiner et al. 2003). This result was explained by a balance of absorption and emission in a spherically extended envelope, and the mid infrared spectra and visibilities could be consistent with the warm molecular envelope model (Ohnaka 2004).

It is to be remembered that a possible presence of the warm molecular envelope in the early M giant stars was previously noticed when we first identified H₂O bands on the spectrum of the M2 giant β Peg observed with ISO SWS (Tsuji et al. 1997). Also, H₂O bands were found in several M giants earlier than M6 by Matsuura et al. (1999) with IRTS (Infrared Telescope in

Space) launched in March 1995 by ISAS. These results were further extended to several K - M giant stars (Tsuji 2001) and also confirmed by other authors (e.g. Decin et al. 2003). The implication of this finding, however, was not necessarily realized very well even by ourselves, and it took some time before we recognize that this finding should also be related to the difficulty encountered in the abundance analysis of red giant stars. Since the temperatures and radial velocities of the MOLsphere differ only slightly from those of the upper photosphere, it was difficult to recognize the presence of the MOLsphere by the spectroscopic method¹¹. However, we finally recognize the effect of the overlaying warm molecular layers on the high resolution infrared spectra of dozens of red giant stars.

Considering several lines of reasonings outlined above, we conclude at last that the presence of a rather warm and quasi static molecular envelope above the photosphere is a common feature in K and M giant stars. It is quite unexpected and even surprising if both the CO and OH lines of intermediate-strength with LEP as high as 2.0 eV (Fig. 12) include the absorption and/or emission originating in the outer molecular layers. We have previously noticed such a possibility mainly for the late M giants (Paper II), but now we find that the presence of the extra molecular envelope is a general phenomenon not only in the late M giants but also in the early M and K giants as well. Also, the fact that the rather high excitation lines are included in these intermediate-strength lines implies that the extra molecular envelope is indeed “warm” and should be even warmer than we imagined before from the analysis of the strong lines (Paper II). Yet we know little about this new feature consisting of warm gaseous molecules such as CO, OH, and H₂O. We hope it our next step to extend our analysis as done for the strong lines (Paper II) to the intermediate-strength lines and to clarify the nature of the warm molecular layers in more detail.

We conclude that the observed infrared spectra should actually be a hybrid of multiple components originating in the photosphere and overlaying warm molecular layers or MOLsphere. The hybrid nature of the infrared spectra of red giant stars is now confirmed both in the low resolution ISO spectra and high resolution FTS spectra. We must then abandon the traditional idea that the stellar spectra are primary defined by the stellar photospheric structure, at least for the infrared spectra of K - M giant stars.

7.3. CNO abundances

Even if the photosphere of a cool giant star is veiled by the warm molecular envelope, the weak lines of high excitation may remain almost undisturbed¹². In fact the weak lines are clearly distinguished from the intermediate-strength lines on the $\Delta \log A - \log W/\nu$ diagram (Figs. 4 - 11, 13 - 14), and we confirmed that the weak lines well behave as expected from the classical micro-turbulent model (Sects. 4 & 5). Thus, we are convinced that abundance determination can be possible with the use of the

¹¹ From a detailed measurement of the shifts and shapes of CO lines, we showed that the line asymmetries and differential line shifts of CO lines are as large as 0.5 km s⁻¹ (Paper III). This results can also be interpreted as evidence for the presence of the extra molecular layers that may have some velocity differences against the photosphere.

¹² We also assume that the MOLsphere is almost transparent to the continuum radiation at least in the near infrared and that the infrared flux method with the *L* band flux can still be applied. However, it is possible that the MOLsphere will be opaque to the continuum radiation at the longer wavelength region as has actually been shown for the radio domain of Mira variable stars (Reid & Menten 1997).

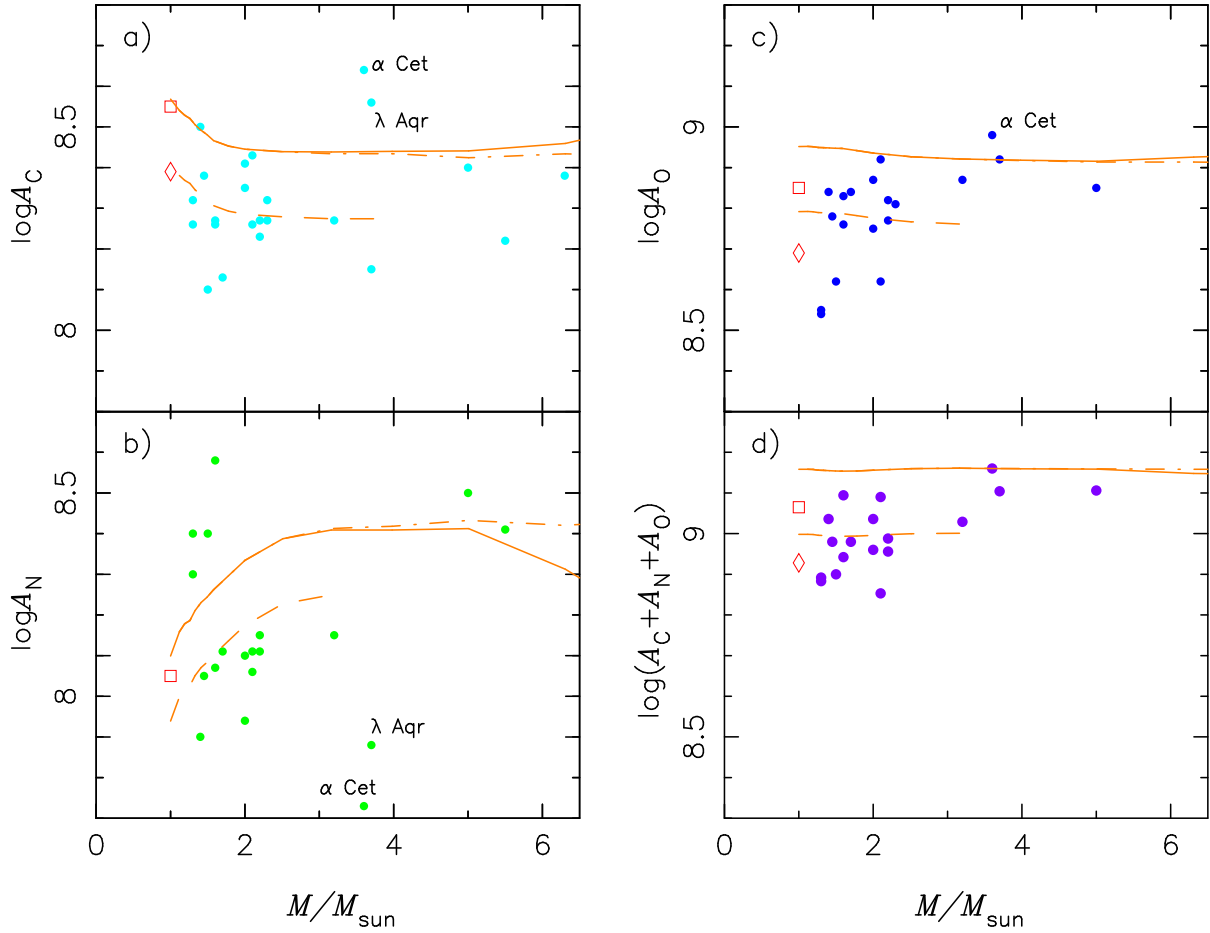


Fig. 17. Observed abundances of **a)** carbon (Table 6), **b)** nitrogen (Aoki & Tsuji 1997; Table 6), **c)** oxygen (Table 6; If the results derived from the *H* and *L* band spectra are available, mean values are used), and **d)** C+N+O, compared with the predictions of recent evolutionary models (Claret 2004). The results of FDU and SDU for the case of $Z = 0.02$ are shown by solid and dash-dotted lines, respectively, in each panel. The observed C + N + O abundances are smaller by about 0.16 dex compared with the predictions based on $Z = 0.02$ as can be seen in panel d. This initial metallicity correction of -0.16 dex is applied to the predicted C, N, and O abundances in panels a, b, and c, respectively, and the corrected results are shown by dashed lines. In each panel, the solar abundances are shown by open square (Anders & Grevesse 1989; Ayres et al. 2006) and by open diamond (Allende Prieto et al. 2002).

weak lines of $\log W/\nu \lesssim -4.75$. With this caution, our results agree well with those by Smith & Lambert (1990), who already used only weak lines in their abundance analyses. Then we compare our results with the predictions of recent evolutionary models.

We plot the resulting C and O abundances in Figs. 17a and 17c, respectively. Also, we plot N abundances based on our previous analysis of the same sample (Aoki & Tsuji 1997), corrected for the effect of the revised C abundances (14-th column of Table 6), in Fig. 17b. For 5 late M giants for which the N abundances based on the ro-vibrational lines of NH are available, we plotted the mean $\log A_N$ values based on the lines of CN and NH. Given C, N, and O abundances, we obtain the sum of the C, N, and O abundances as plotted in Fig. 17d. The results are compared with the predictions of the standard evolutionary models by Claret (2004): The results of the first dredge-up (FDU) are shown by solid lines and those of the second dredge-up (SDU) by dash-dotted lines in Figs. 17a-d.

We first examine the sum of the C, N, and O abundances in Fig. 17d. The model prediction simply confirms that the CNO processing conserved the sum of the C, N, and O abundances

corresponding to $Z = 0.02$, as assumed in the evolutionary models. The observed values are generally smaller than the values of the models which are $\log(A_C + A_N + A_O) \approx 9.16$. The mean of the observed values in low mass stars ($M < 2.5M_\odot$) is $\log(A_C + A_N + A_O) \approx 9.00$ as shown by the dashed line in Fig. 17d, and thus the mean metallicity of the low mass stars we analyzed is smaller than the value assumed in the evolutionary models by Claret (2004). This metallicity difference should be kept in mind in comparing the observed and predicted C, N, and O abundances. Also, a recent solar value of the C+N+O abundances based on the C and O abundances by Allende Prieto et al. (2002) is shown by the open diamond and a classical value (e.g. Anders & Grevesse 1989), recently supported by Ayres et al. (2006), is indicated by the open squares in Fig. 17d. The N abundance is taken from Anders & Grevesse (1989).

The observed carbon abundances are generally smaller than those of the model predictions, but may be consistent with the prediction after the metallicity difference between the evolutionary models and actual stars is corrected as shown by dashed line in Fig. 17a. The scatters around the dashed line may be due to those of the metallicity in our sample, except for a few ob-

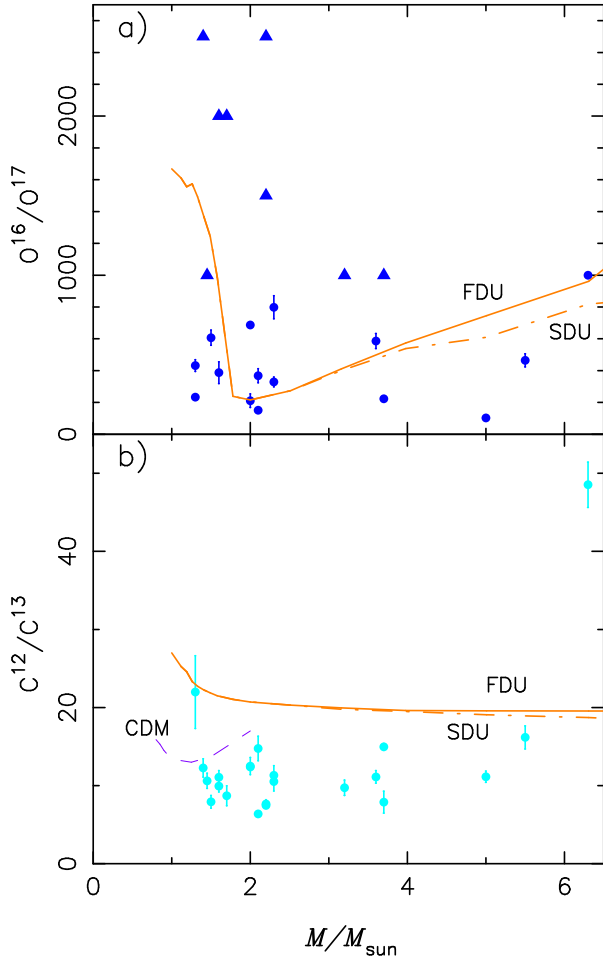


Fig. 18. **a)** The observed $^{16}\text{O}/^{17}\text{O}$ ratios are plotted against stellar mass by filled circles and by the filled triangles if only lower limits can be estimated. Predicted $^{16}\text{O}/^{17}\text{O}$ ratios by FDU and SDU are shown by solid and dash-dotted lines, respectively. **b)** The observed $^{12}\text{C}/^{13}\text{C}$ ratios are plotted by filled circles against stellar mass. Dashed curve is the predicted $^{12}\text{C}/^{13}\text{C}$ ratios by the “Compulsory Deep Mixing (CDM; Eggleton et al. 2008)”.

jects. For example, two stars appear above the predicted FDU curve: The object showing the largest deviation is α Cet and the other one is λ Aqr. It is interesting to notice that these two stars also show the largest under abundances of N at $M \approx 3.6M_{\odot}$ in Fig. 17b.

The nitrogen abundances may roughly be consistent with the FDU curve with and/or without metallicity correction in general. However, the deviations from the prediction appear to be larger in a few objects, and it is as if some extra mixing may be needed as in the case of the $^{12}\text{C}/^{13}\text{C}$ ratios discussed in Sect. 7.4. Most lines of both CN and NH used are weak lines that satisfy the condition of $\log W/\nu \lesssim -4.75$, and N abundances may be free from the difficulty encountered in the analysis of the CO first overtone bands in Paper I (also Sect. 4.1).

Finally, the oxygen abundances in Fig. 17c show a similar pattern as the C+N+O abundances in Fig. 17d, and the scatters may represent those of the metallicity in our sample. There is a tendency for the oxygen abundances to be larger in higher mass objects. The resulting oxygen and carbon abundances correlate rather well and the mean $A_{\text{C}}/A_{\text{O}}$ ratio for 19 objects for which C and O abundances are determined is 0.37 ± 0.10 (std. dev.).

7.4. Carbon and oxygen isotopic ratios

The resulting $^{16}\text{O}/^{17}\text{O}$ ratios (Table 7) are plotted against the stellar mass in Fig. 18a by filled circles or by filled triangles if only lower limits could be estimated. For comparison, predicted results of FDU and SDU by Claret (2004) are shown by solid and dash-dotted lines, respectively. The rather large variation in $^{16}\text{O}/^{17}\text{O}$ of low mass stars is well consistent with the prediction of the evolutionary models, confirming the previous analysis by Dearborn (1992) using the observed data known at that time. In the higher mass stars, some objects have quite low $^{16}\text{O}/^{17}\text{O}$ ratios, but the general trend that the $^{16}\text{O}/^{17}\text{O}$ ratios are confined to lower values can be consistent with the predicted ones. Considering the possible uncertainties in the stellar masses, the observed and predicted $^{16}\text{O}/^{17}\text{O}$ ratios can be regarded as not showing a serious contradiction.

The observed $^{12}\text{C}/^{13}\text{C}$ ratios (Table 7) are shown by filled circles and compared with the predicted ones (Claret 2004) in Fig. 18b. The observed $^{12}\text{C}/^{13}\text{C}$ ratios are now around 10 in contrast to our previous $^{12}\text{C}/^{13}\text{C}$ ratios which were mostly below 10 (Tsuji 2007), but yet too small compared with $^{12}\text{C}/^{13}\text{C} \approx 20$ predicted by the standard evolutionary models. This contradiction has been known for a long time and we simply confirm this long-standing puzzle. To resolve this dilemma, extra mixing of the CN-cycle processed material had to be assumed. For example, a deep circulation below the bottom of the convective zone, referred to as “cool bottom processing”, was proposed (Boothroyd & Sackmann 1999). More recently, it was shown by Eggleton et al. (2008) that a deep mixing mechanism should operate in all the low mass giants due to a molecular weight inversion resulting from $^3\text{He} (^3\text{He}, 2p) ^4\text{He}$ reaction in the layers just above the H-burning shell. The predicted $^{12}\text{C}/^{13}\text{C}$ ratios by this “Compulsory Deep Mixing (CDM)” are shown by the dashed line in Fig. 18b. Now, the new prediction appears close to the upper boundary of the observed results, and discrepancies between observations and predictions are relaxed if not perfectly.

7.5. The warm molecular envelope and mass loss from red giants

Given that the presence of the warm molecular envelope or MOLsphere is a general phenomenon in normal red giant stars, the next problem is to clarify the nature of the MOLsphere in detail, and to understand the structure of the entire atmosphere extending beyond the photosphere. An initial step towards this goal is opened by a detailed MHD simulation of the red giant wind by Suzuki (2007), who showed that nearly static regions of $T \approx 10^3 - 10^4$ K are formed at several stellar radii above the photosphere. It is interesting that the mass loss from red giant stars with the wind velocities far smaller than the surface escape velocity can be understood consistently with his simulation in which the stellar winds are effectively accelerated from the quasi-static region at several stellar radii above the photosphere.

The quasi-static region predicted by Suzuki’s simulation can be identified with the warm molecular envelope that we confirmed in several observational studies discussed in Sect. 7.2; we hope that the simulation of Suzuki can be extended to include spectral modeling so that more detailed confrontation between theory and observations can be achieved. We include in Table 3 the measured data on the stronger lines together with those on the weaker lines used in the abundance analysis, and we hope that these data can be interpreted correctly with more sophisticated models in the future. With such a detailed confrontation between theory and observations, we expect to have a consistent

solution to the long-standing problem on the origin of mass-loss from red giant stars for the first time.

8. Concluding remarks

We initiated this study with a hope to clarify the nature of the difficulty encountered in our previous analysis of CO (Papers I & III), since we felt that something is still not well understood in abundance analysis of cool giant stars. At first, we had to recognize that the line spectra of cool giant stars are in fact highly complicated and may consist of at least three different groups of lines that may have different origins: The weak lines of $\log W/\nu \lesssim -4.75$, the intermediate-strength lines of $-4.75 < \log W/\nu \lesssim -4.4$, and the strong lines of $\log W/\nu > -4.4$. Of these three groups, only the weak lines could be understood well on the basis of the classical micro-turbulent model of line formation. On the other hand, it has been known that the strong lines could not be understood as being of the photospheric origin alone but should include an extra component originating in the outer molecular layers (Paper II).

The most difficult case was the intermediate-strength lines. However, we are finally led to conclude that the intermediate-strength lines are essentially the same as the strong lines. This means that not only the low excitation strong lines but also medium strong lines with LEP as high as 2 eV (Fig. 12) are disturbed by the contamination originating in the outer molecular envelope, which we refer to as MOLsphere for simplicity. This in turn means that most of the dominant molecular lines observed in the infrared spectra of K - M giant stars should be badly disturbed by the contamination from the extra component beyond the photosphere. At the same time, this finding provides further support for the presence of the MOLsphere, on the basis of the high resolution spectra of dozens of red giant stars. Thus, the difficulty of the abundance analysis we have encountered is nothing but a warning that the spectra of cool luminous stars cannot be analyzed by the conventional methods using the classical model photospheres. Instead, the influence of the extra constituent of the atmosphere, which we tentatively referred to as MOLsphere, should be quite essential not only in the strong low excitation lines but also in many weaker lines that dominate the infrared spectra.

Now we had to know that the largest fault in our previous analysis (Paper I) was to have assumed that the critical value discriminating the weak and the intermediate-strength lines is $\log W/\nu \approx -4.5$ rather than -4.75 . For this reason, many lines which are disturbed by the contamination from the warm molecular envelope were included in the abundance analysis of Paper I. It should certainly be difficult to attempt abundance analysis on such lines including the contamination of the non-photospheric origin by the use of a conventional model photosphere, and the reason why abundance analysis of cool giant stars often encountered difficulties appeared to be quite simple, at least partly. This result also implies that it should be difficult in principle to apply low resolution infrared spectra to abundance analyses of cool luminous stars, and the hybrid nature of the infrared spectra reinforces the reason why high resolution spectroscopy should be indispensable in abundance analyses.

On the other hand, it is fortunate that the weak lines are relatively free from disturbance by extra component of non-photospheric origin and we are convinced that the abundance analysis of cool giant stars can be possible with these lines. With a limited number of the weak lines, we could determine the C, O, and their isotopic abundances with reasonable accuracy. The resulting CNO and their isotopic abundances are roughly consis-

tent with the predictions of the first and second dredges-up during the red giant phase. We noticed, however, that there are some deviations from the evolutionary models especially in ^{12}C , ^{13}C , and ^{14}N abundances in some stars, and it seems that some additional episodic events may be needed to explain them. Since the CNO isotopes in the universe, especially ^{13}C , ^{14}N , and ^{17}O , are largely supplied by red giant stars, further refinements of both abundance analyses and evolutionary modelings should be pursued.

In conclusion, it turns out that high resolution infrared spectra include wealth of information not only on photospheric abundances but also on the structure of the entire atmosphere extending beyond photosphere. For this reason, the infrared spectra must be of hybrid nature with contributions from multiple components. In the interpretation and analysis of the infrared spectra of cool luminous stars, it is essential to keep their hybrid nature in mind.

Acknowledgements. I am most grateful to Drs. K. H. Hinkle and S. T. Ridgway for generous support in observing the FTS spectra at KPNO, in applying the KPNO archival data, and in analyzing the FTS spectra. I also thank an anonymous referee for careful reading of the text and for helpful comments. Data analyses were in part carried out on common use data analysis computer system at the Astronomy Data Center (ADC) of NAOJ. This work was supported by Grant-in-Aid for Scientific Research (C) no.17540213.

References

- Abia, C., & Isern, J. 1997, *MNRAS*, 289, L11
- Abia, C., de Laverny, P., & Wahlin, R. 2008, *A&A*, 481, 161
- Allende Prieto, C., Lambert, D. L., & Asplund, M. 2002, *ApJ*, 573, L137
- Anders, E., & Grevesse, N. 1989, *Geochimica et Cosmochimica Acta*, 53, 197
- Aoki, W., & Tsuji, T. 1997, *A&A*, 328, 186
- Asplund, M. 2005, *ARA&A*, 43, 481
- Ayres, T. R., Plymate, C., & Keller, C. U. 2006, *ApJS*, 165, 618
- Bauschlicher, C. W., Langhoff, S. R., & Taylor, P. R. 1988, *ApJ*, 332, 531
- Bersanelli, M., Bouchet, P., & Falomo, R. 1991, *A&A*, 252, 854
- Blackwell, D. E., Petford, A. D., & Shallis, M. J. 1980, *A&A*, 82, 249
- Boothroyd, A., & Sackmann, I. -J. 1999, *ApJ*, 510, 232
- Cerny, D., Bacis, R., Guelachvili, G., & Roux, F. 1978, *J. Mol. Spectros.*, 73, 154
- Chackerian, C. Jr. & Tipping, R. H. 1983, *J. Mol. Spectrosc.*, 99, 431
- Claret, A. 2004, *A&A*, 424, 919
- Collet, R., Asplund, M., & Trampedach, R. 2007, *A&A*, 649, 687
- Connes, P. 1970, *ARA&A*, 8, 209
- Dearborn, D. S. P. 1992, *Phys. Rep.*, 210, 367
- Decin, L., Vandenbussche, B., Waelkens, C., et al. 2003, *A&A*, 400, 709
- Dyck, H. M., van Belle, G. T., & Thompson, R. R. 1998, *AJ*, 116, 981
- Eggleton, P. P., Dearborn, D. S. P., & Lattanzio, J. C. 2008, *ApJ*, 677, 581
- ESA. 1997, *The Hipparcos and Tycho Catalogues*, ESA SP-1200, Noordwijk
- Guelachivili, G., De Villeneuve, D., Farrenq, R., Urban, W., & Verges, J. 1983, *J. Mol. Spectros.*, 98, 64
- Gustafsson, B., Bell, R. A., Eriksson, K., & Nordlund, Å. 1975, *A&A*, 42, 407
- Hall, D. N. B., Ridgway, S. T., Bell, E. A., & Yarbrough, J. M. 1979, *Proc. Soc. Photo-Opt. Instrum. Eng.*, 172, 121
- Harris, M., & Lambert, D. L. 1984, *ApJ*, 285, 674
- Harris, M., Lambert, D. L., & Smith, V. V. 1985, *ApJ*, 299, 375
- Harris, M., Lambert, D. L., Hinkle, K. H., Gustafsson, B., & Eriksson, K. 1987, *ApJ*, 316, 294
- Hinkle, K. H., Lambert, D. L., & Snell, R. L. 1976, *ApJ*, 210, 684
- Jacquinet-Husson, N., et al. 1999, *J. Quant. Spec. Radiat. Transf.*, 62, 205
- Kurucz, R. L. 1979, *ApJS*, 40, 1
- Lambert, D. L., Gustafsson, B., Eriksson, K., & Hinkle, K. H. 1984, *ApJS*, 62, 373
- Langhoff, S. R., & Bauschlicher, C. W. 1993, *Chem. Phys. Lett.*, 211, 305
- Lazaro, C., Lynas-Gray, A. E., Clegg, R. E. S., et al. 1991, *MNRAS*, 249, 62
- Lavas, F. J., Maki, A. G., & Olson, W. B. 1981, *J. Mol. Spectros.*, 87, 449
- Maillard, J. P. 1974, *Highlights Astron.*, 3, 269
- Matsuura, M., Yamamura, I., Murakami, H., Freund, M. M., & Tanaka, M. 1999, *A&A*, 348, 579
- Mennesson, B., Perrin, G., Chagnon, G., et al. 2002, *ApJ*, 579, 446
- Mihalas, D. 1978, *Stellar Atmospheres*, 2nd ed., W. H. Freeman and Co., San Francisco

- Mondal, S., & Chandrasekhar, T. 2005, *AJ*, 130, 842
- Norton, R. M., & Beer, R. 1976, *J. Opt. Soc. America*, 66, 259
- Ohnaka, K. 2004, *A&A*, 421, 1149
- Ohnaka, K., & Tsuji, T. 1996, *A&A*, 310, 933
- Ohnaka, K., & Tsuji, T. 1999, *A&A*, 345, 233
- Ohnaka, K., Tsuji, T., & Aoki, W. 2000, *A&A*, 353, 528
- Partridge, H., & Schwenke, D. W. 1997, *J. Chem. Phys.*, 106, 4618
- Perrin, G., Coudé du Foresto, Ridgway, S. T., et al. 1998, *A&A*, 331, 619
- Perrin, G., Ridgway, S. T., Coudé du Foresto, et al. 2004, *A&A*, 418, 675
- Plez, B., 1992, *A&AS*, 94, 553
- Plez, B., Brett, J. M., & Nordlund, Å. 1992, *A&A*, 256, 551
- Quirrenbach, A. 2001, *ARA&A*, 39, 353
- Quirrenbach, A., Mozrukewich, D., Armstrong, J. T., Buscher, D. F., & Hummel, C. A. 1993, *ApJ*, 406, 215
- Reid, M. J., & Menten, K. M. 1997, *ApJ*, 476, 327
- Ridgway, S. T., & Brault, J. W. 1984, *ARA&A*, 22, 291
- Ridgway, S. T., Joyce, R. R., White, N. M., & Wing, R. F. 1980, *ApJ*, 235, 126
- Ryde, N., Lambert, D. L., Richter, M. J., & Lacy, J. H. 2002, *ApJ*, 580, 447
- Smith, V. V., & Lambert, D. L. 1985, *ApJ*, 294, 326
- Smith, V. V., & Lambert, D. L. 1986, *ApJ*, 311, 843
- Smith, V. V., & Lambert, D. L. 1990, *ApJS*, 72, 387
- Suzuki, T. K. 2007, *ApJ*, 659, 1592
- Tipping, R. H., & Chackerian, C., Jr. 1981, *J. Mol. Spectros.*, 88, 352
- Tsuji, T. 1978, *A&A*, 62, 29
- Tsuji, T. 1981, *A&A*, 99, 48
- Tsuji, T. 1986, *A&A*, 156, 8 (Paper I)
- Tsuji, T. 1988, *A&A*, 197, 185 (Paper II)
- Tsuji, T. 1991, *A&A*, 245, 203 (Paper III)
- Tsuji, T. 2001, *A&A*, 376, L1
- Tsuji, T. 2002, *ApJ*, 575, 264
- Tsuji, T. 2007, *Proc. IAU Symp.* 239 on “Convection in Astrophysics”, p. 307, Cambridge Univ. Press, Cambridge
- Tsuji, T., Ohnaka, K., Aoki, W., & Yamamura, I. 1997, *A&A*, 320, L1
- Tsuji, T., Ohnaka, K., Hinkle, K. H., & Ridgway, S. T. 1994, *A&A*, 289, 469
- Unsöld, A. 1955, *Physik der Sternatmosphären mit Besonderer Berücksichtigung der Sonne*, 2ten Auf., Springer, Berlin
- van Belle, G. T., Lane, B. F., Thompson, R. R., et al. 1999, *AJ*, 117, 521
- Wahlin, R., Eriksson, K., Gustafsson, B., et al. 2006, *Mem. S. A. It.*, 77, 955
- Wallerstein, G., & Morell, O. 1994, *A&A*, 281, L37
- Weiner, J., Hale, D. D. S., & Townes, C. H. 2003, *ApJ*, 589, 976

Table 2. Observed spectra

obj.	Filter	res.(mK)	S/N	Date of obs.	FTS sp. in ftsdata/
alf Tau	H	46.19	60	Aug. 17, 1976	kp0222
alf Tau	K	46.19	76	Sep. 28, 1976	kp0226
alf Tau	L	49.90	159	Oct. 21, 1977	kp0628
del Oph	H,K	49.70	75	Jun. 24, 1977	kp0201
nu Vir	H	41.21	51	Apr. 08, 1982	kp0321
nu Vir	K	41.20	115	Apr. 09, 1982	kp0332
alf Cet	H,K	46.19	69	Sep. 28, 1976	kp0221
sig Lib	H,K	46.19	89	Aug. 18, 1976	kp0228
lam Aqr	H,K	46.19	61	Jul. 06, 1976	kp0313
bet Peg	H,K	48.76	103	May 12, 1976	kp0104
tau4 Eri	H,K	46.19	59	Sep. 29, 1976	kp0127
mu Gem	H	46.19	-	Nov. 07, 1976	kp0219
mu Gem	K	16.53	80	Jan. 27, 1988	kp0902
del Vir	H	46.19	54	Jun. 18, 1976	kp0212
del Vir	H,K	49.70	86	Jun. 24, 1977	kp0207
10 Dra	H,K	49.82	61	Jun. 28, 1977	kp0131
rho Per	H	29.70	44	Apr. 07, 1982	kp0317
rho Per	K	22.28	66	Apr. 08, 1982	kp0329
BS6861	H,K	46.19	42	Sep. 29, 1976	kp0114
del2 Lyr	H,K	46.19	78	Apr. 11, 1976	kp0111
RR UMi	H,K	46.19	61	Aug. 18, 1976	kp0227
alf Her	H,K	49.70	106	Jun. 24, 1977	kp0202
alf Her	L	16.53	45	Jul. 09, 1987	kp0940
OP Her	K	16.53	142	Jul. 01, 1988	kp1124
XY Lyr	K	16.53	86	Jul. 08, 1987	kp0930
R Lyr	H	29.70	70	Apr. 08, 1982	kp0325
R Lyr	K	16.53	65	Jul. 07, 1987	kp0923
R Lyr	L	16.53	107	Jul. 09, 1987	kp0941
RZ Ari	H,K	46.19	88	Aug. 17, 1976	kp0115
RZ Ari	L	16.53	65	Oct. 02, 1987	kp1028
30g Her	H,K	49.10	102	Jun. 30, 1977	kp0128
30g Her	L	16.53	-	Jul. 09, 1987	kp0939
SW Vir	H,K	46.19	65	Jun. 18, 1976	kp0120
SW Vir	L	16.53	88	Jul. 09, 1987	kp0936
RX Boo	H,K	48.10	62	Jan. 14, 1976	kp0105
RX Boo	L	16.53	108	Jul. 09, 1987	kp0937
alf CMa	H	29.70	-	Apr. 07, 1982	kp0318
alf CMa	K	22.28	-	Apr. 09, 1982	kp0330
IRC+10216	L	16.53	-	Oct. 02, 1987	kp1033

Table 3. Measured equivalent width data (only a few lines at the beginning of table3 as examples)

star	isotope	v' v''	rot. tr.	nu(cm-1)	log gf	L.E.P.(cm-1)	logEW/nu
alf Tau	C12O16	3 1	P 2	4199.480	-6.282	2154.701	-4.739
alf Tau	C12O16	2 0	P 9	4222.953	-6.128	172.978	-4.585
alf Tau	C12O16	4 2	R 68	4237.805	-4.241	12982.145	-4.721
alf Tau	C12O16	3 1	R 8	4238.289	-5.600	2280.402	-4.611
alf Tau	C12O16	4 2	R 36	4243.637	-4.604	6763.398	-4.608
alf Tau	C12O16	3 1	R 18	4266.090	-5.248	2794.075	-4.572
alf Tau	C12O16	3 1	R 22	4275.180	-5.154	3105.648	-4.553
alf Tau	C12O16	2 0	R 92	4292.047	-4.817	16004.551	-5.067
alf Tau	C12O16	3 1	R 32	4292.750	-4.969	4148.160	-4.563
alf Tau	C12O16	3 1	R 35	4296.566	-4.923	4533.898	-4.595